

Light effects near black holes

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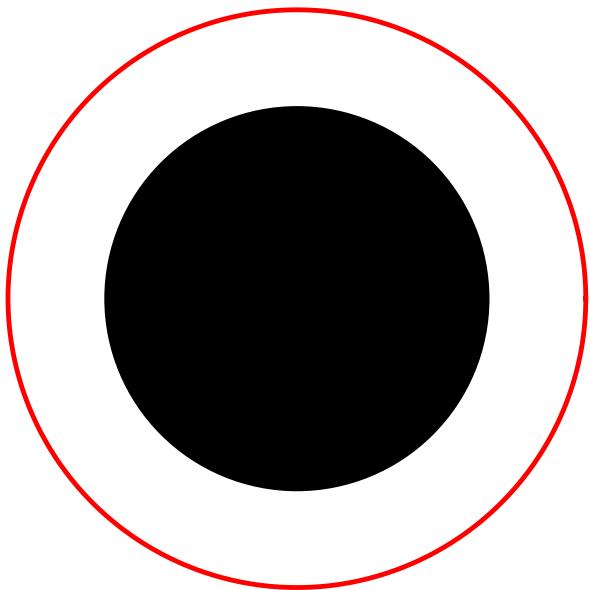
1. Spherically symmetric black holes
 - Schwarzschild black holes
 - Comparison with other spherically symmetric black holes and black hole impostors
2. Kerr black holes (Plebański-Demiański black holes)
3. Influence of a plasma

VP: "Gravitational Lensing from a Spacetime Perspective",
<http://www.livingreviews.org/lrr-2004-9>

Schwarzschild black hole

$$g_{\mu\nu}dx^\mu dx^\nu = - \left(1 - \frac{2m}{r}\right) c^2 dt^2 + \frac{dr^2}{1 - \frac{2m}{r}} + r^2 \left(d\vartheta^2 + \sin^2\vartheta d\varphi^2\right)$$

$$m = \frac{GM}{c^2}$$



Horizon:

$$r = r_S = 2m$$

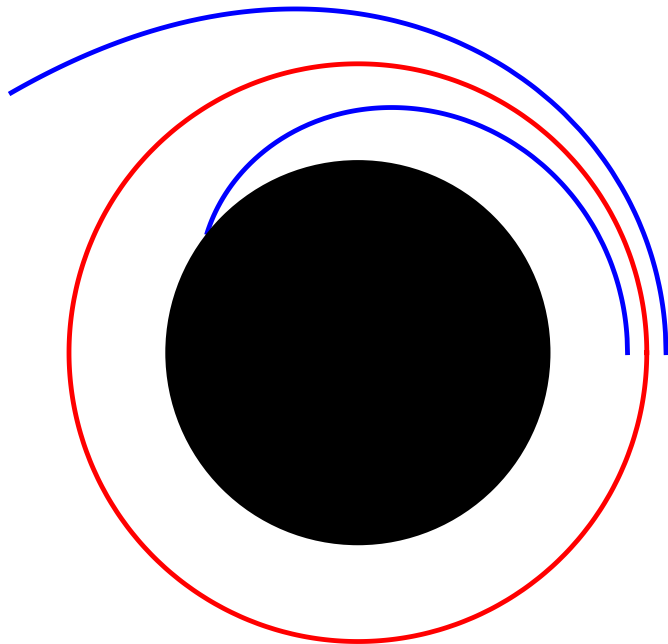
Light sphere (photon sphere)

$$r = r_p = 3m$$

Schwarzschild black hole

$$g_{\mu\nu}dx^\mu dx^\nu = - \left(1 - \frac{2m}{r}\right) c^2 dt^2 + \frac{dr^2}{1 - \frac{2m}{r}} + r^2 \left(d\vartheta^2 + \sin^2\vartheta d\varphi^2\right)$$

$$m = \frac{GM}{c^2}$$

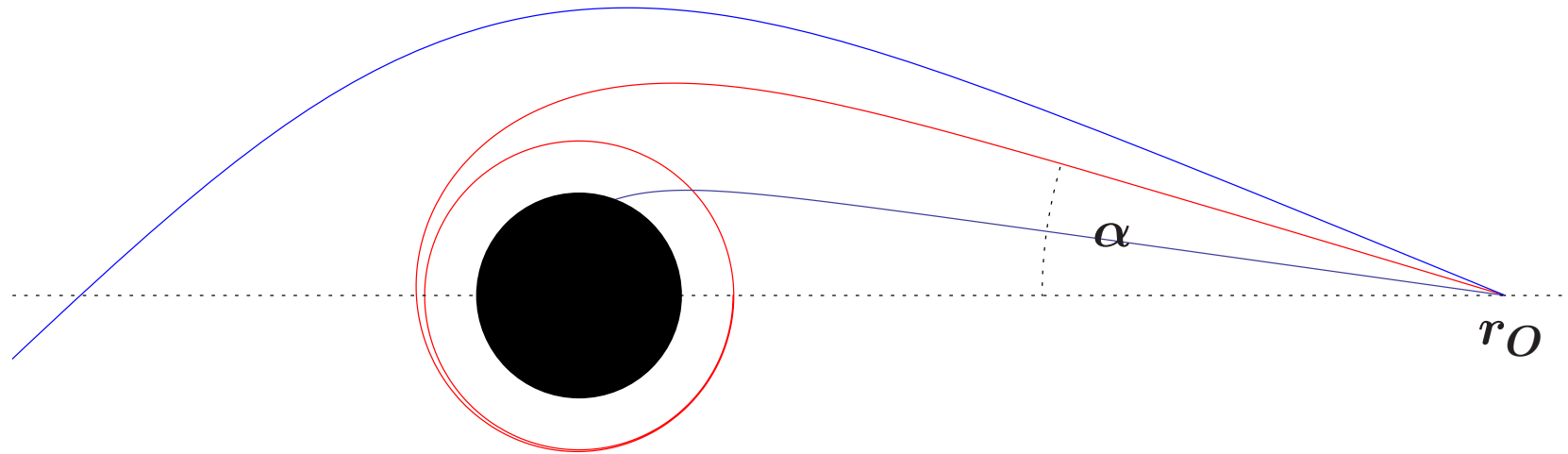


Horizon:

$$r = r_S = 2m$$

Light sphere (photon sphere)

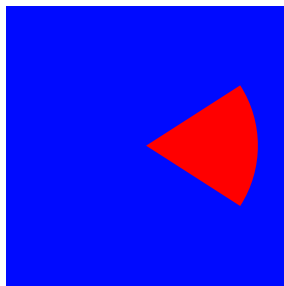
$$r = 3m$$



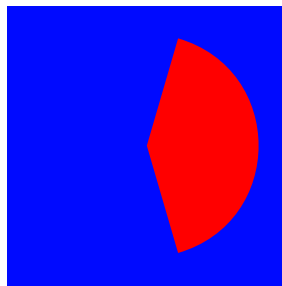
Angular radius α of the “shadow” of a Schwarzschild black hole:

$$\sin^2 \alpha = \frac{27 m^2}{r_O^2} \left(1 - \frac{2m}{r_O} \right)$$

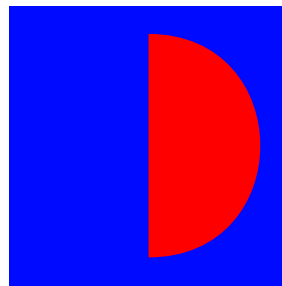
J. L. Synge, Mon. Not. R. Astr. Soc. 131, 463 (1966)



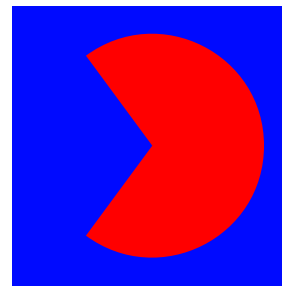
$$r_O = 1.05 r_S$$



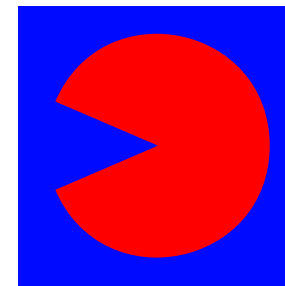
$$r_O = 1.3 r_S$$



$$r_O = 3 r_S / 2$$



$$r_O = 2.5 r_S$$



$$r_O = 6 r_S$$

Perspectives of observations

Object at the centre of our galaxy:

$$\text{Mass} = 4.3 \times 10^6 M_{\odot}$$

$$\text{Distance} = 8.3 \text{ kpc}$$

Synge's formula gives for the diameter of the shadow $\approx 54 \mu\text{as}$

(corresponds to a grapefruit on the moon)

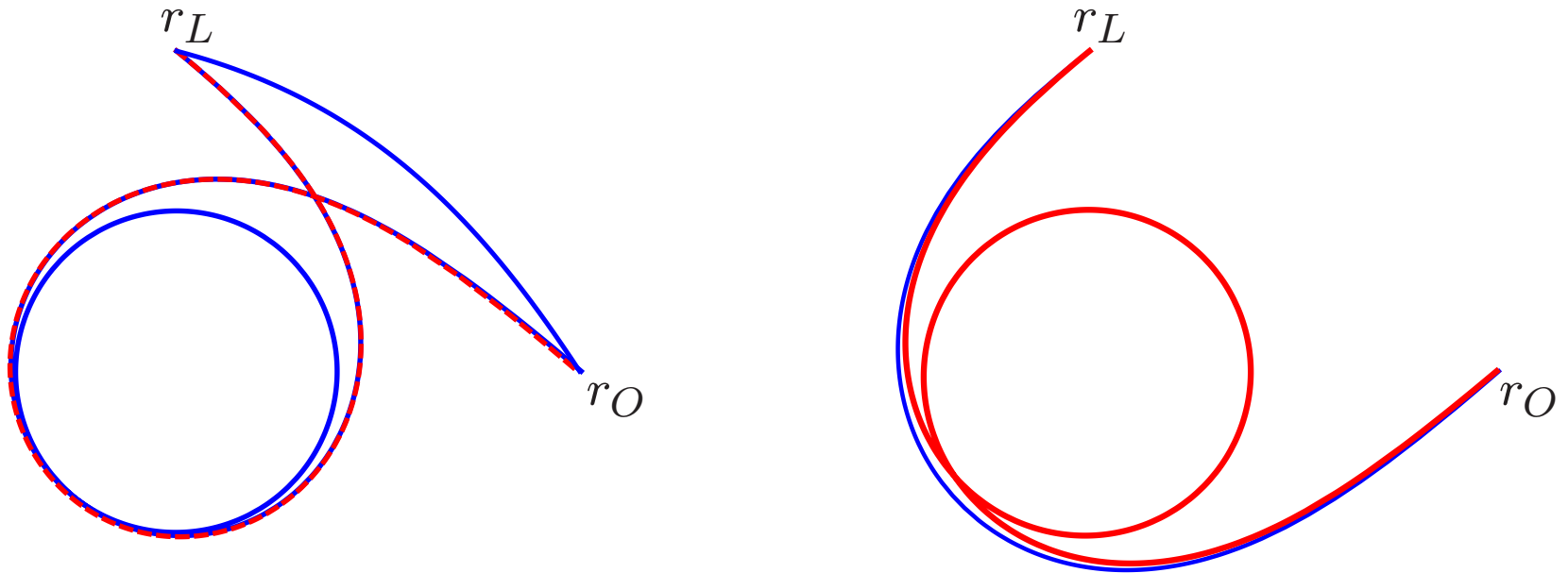
Object at the centre of M87:

$$\text{Mass} = 3 \times 10^9 M_{\odot}$$

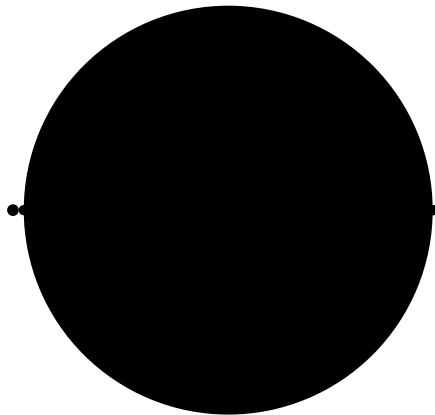
$$\text{Distance} = 16 \text{ Mpc}$$

Synge's formula gives for the diameter of the shadow $\approx 20 \mu\text{as}$

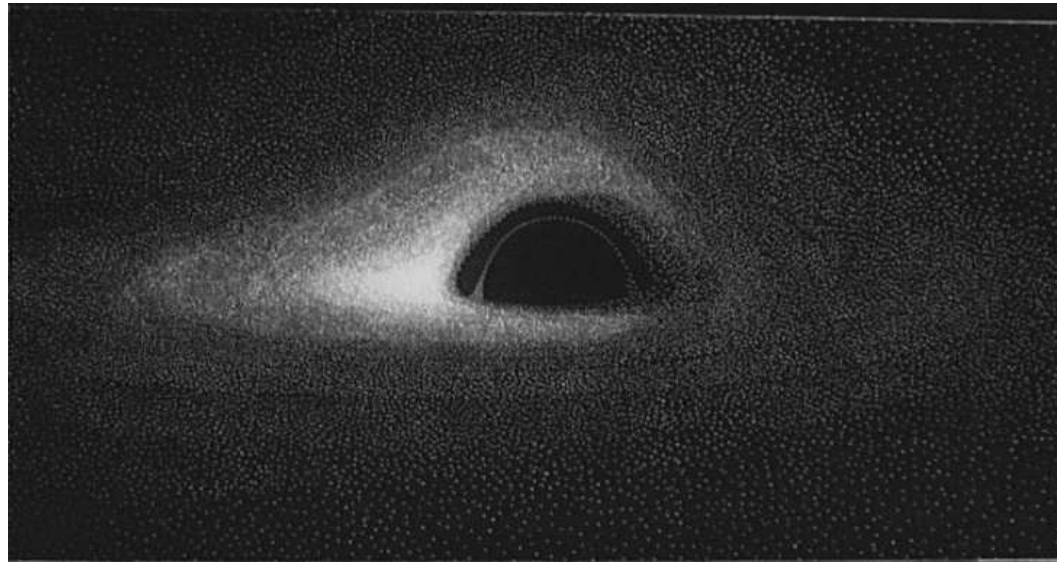
Schwarzschild black hole produces infinitely many images:



**Two infinite sequences of images for
every light source:**

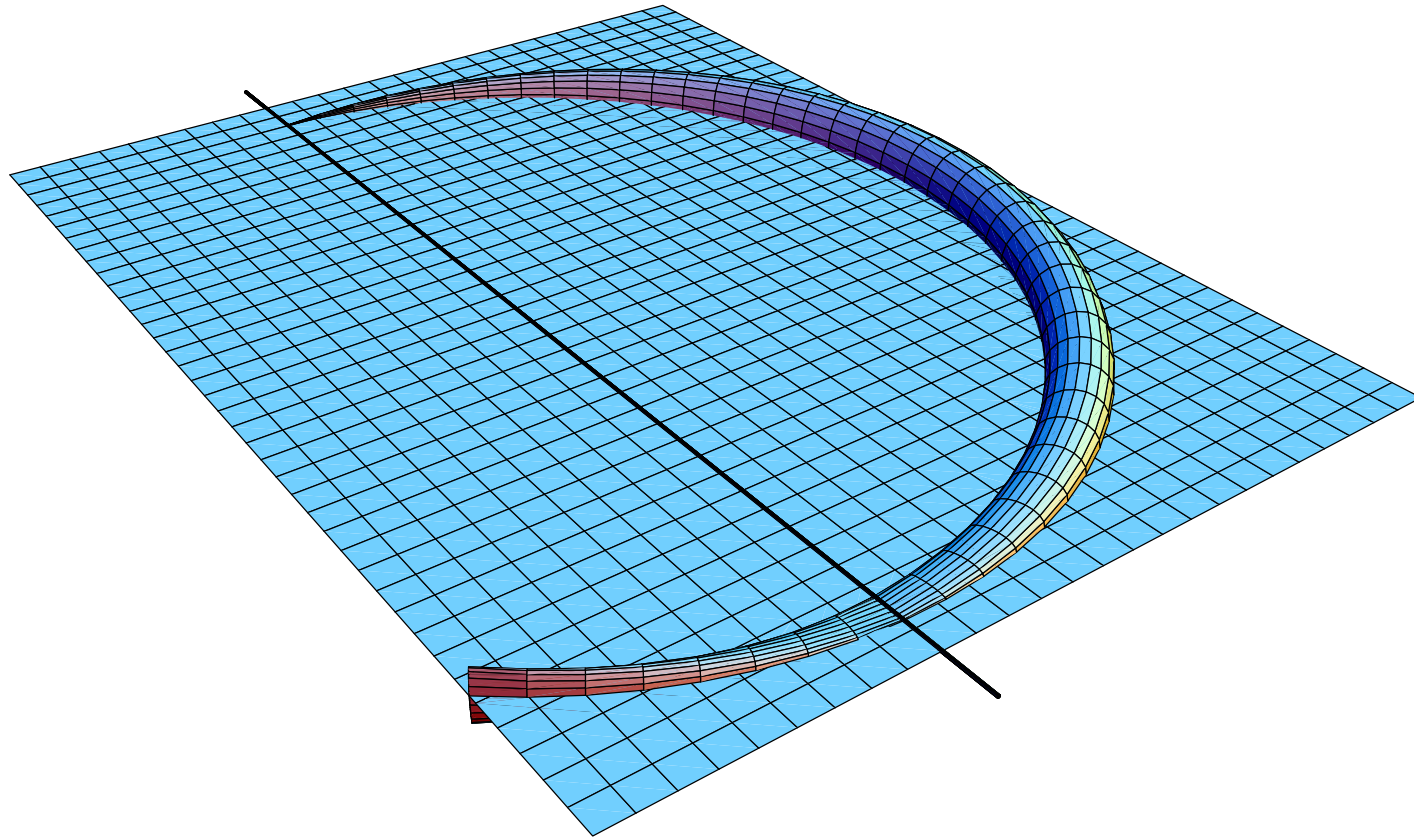


**Visual appearance of a Schwarzschild black hole
with a thin accretion disc**

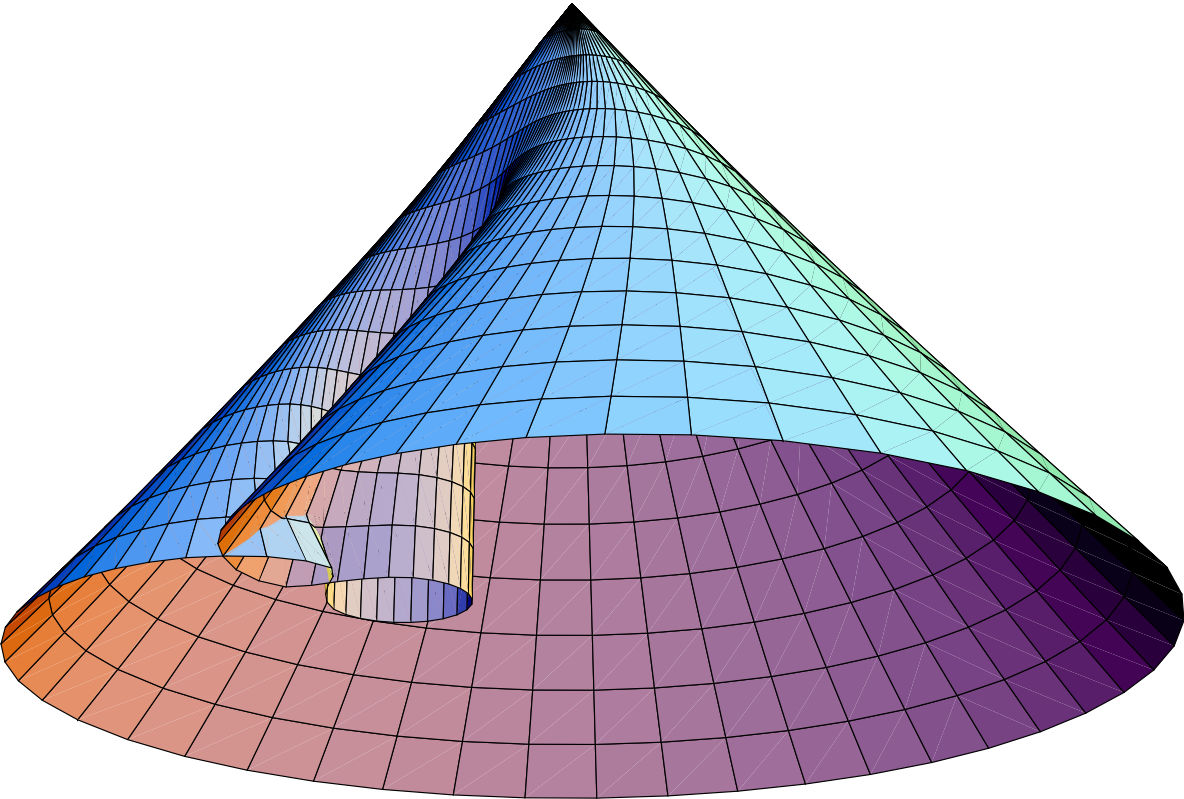


(picture from J.-P. Luminet, *Astron. Astrophys.* 75, 228 (1979))

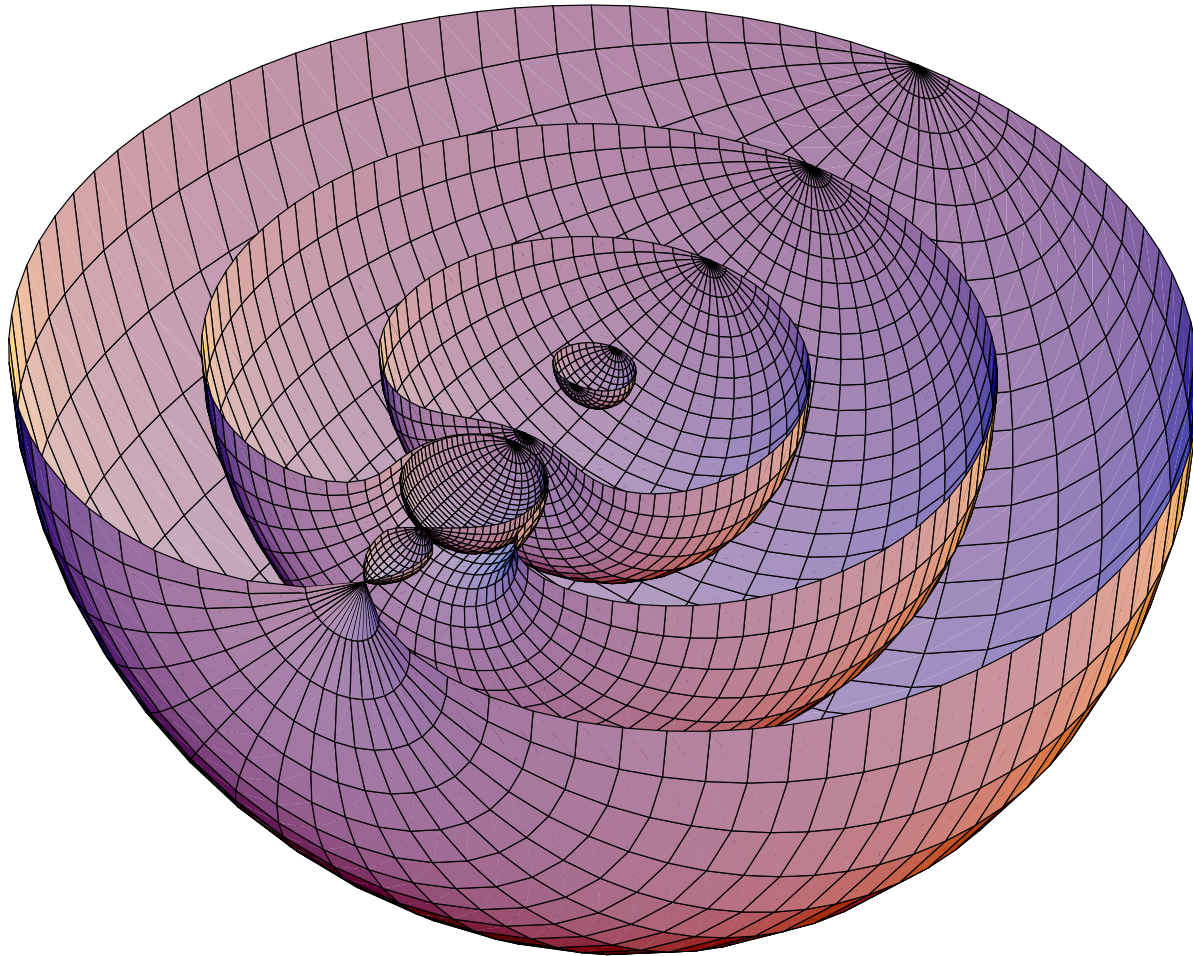
Radial and tangential caustic



Light-cone in Schwarzschild spacetime



Time slices (“wave fronts”) of light-cone:



Other spherically symmetric and static black holes:

- Reissner-Nordström
- Kottler (Schwarzschild-(anti)deSitter)
- Janis-Newman-Winicour
- Newman-Unti-Tamburino (NUT)
- Black holes from nonlinear electrodynamics
- Black holes from higher dimensions, braneworld scenarios, ...

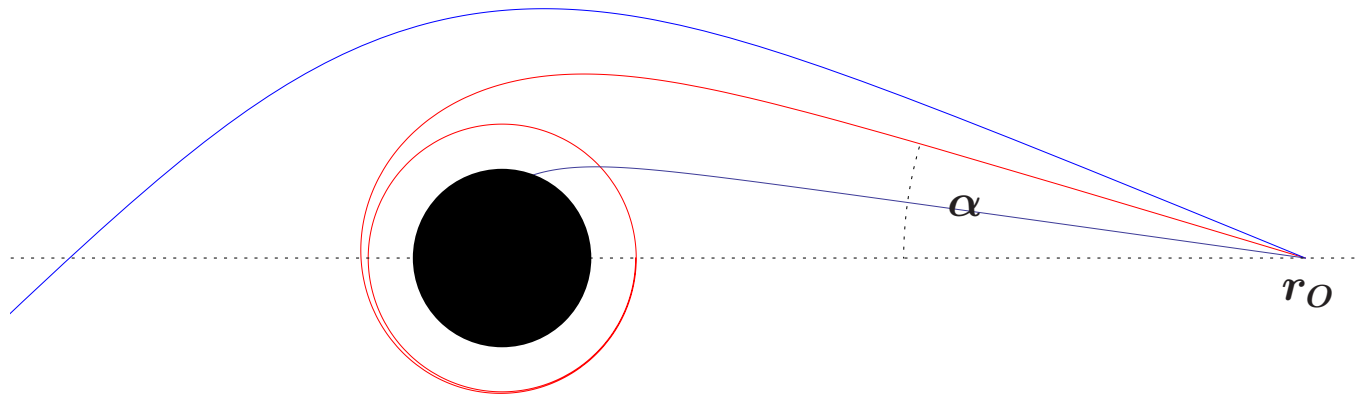
All of them have an unstable photon sphere \implies Qualitative lensing features are similar to Schwarzschild

Quantitative features (ratio of angular separations of images, ratio of fluxes of images) are different, see V. Bozza: Phys. Rev. D 66, 103001 (2002)

The shadow is always circular. Its angular radius depends on r_O and the parameters of the black hole.

Black hole impostor: Ultracompact star

Dark star with radius between $2m$ and $3m$



Lensing features indistinguishable from Schwarzschild black hole

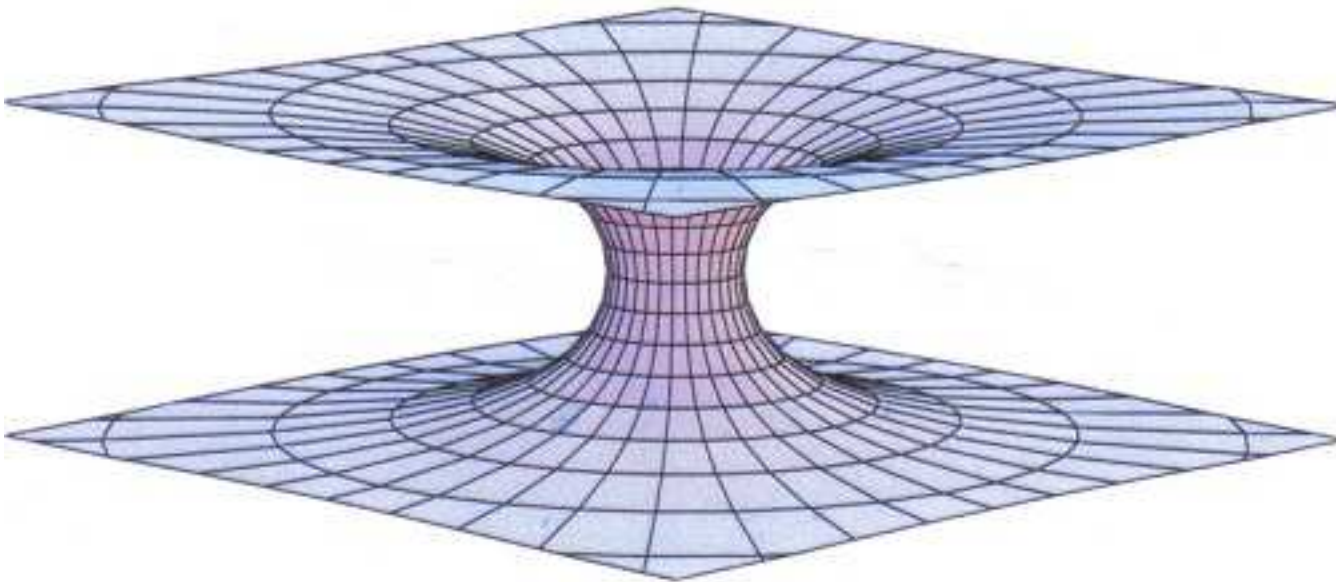
Ultracompact objects are unstable, see

V. Cardoso, L. Crispino, C. Macedo, H. Okawa, P. Pani: Phys. Rev. D 90, 044069 (2014)

Black hole impostor: Ellis wormhole

H. Ellis: J. Math. Phys. 14, 104 (1973)

$$g = -c^2 dt^2 + dr^2 + (r^2 + a^2) (d\vartheta^2 + \sin^2\vartheta d\varphi^2)$$



Angular radius α of shadow: $\sin^2\alpha = \frac{a^2}{r_O^2 + a^2}$

Kerr black holes

(More general: Plebański-Demiański black holes)

Shadow no longer circular

Shape of shadow can be used for discriminating between Kerr and other black holes

Shape of the shadow of a Kerr black hole for observer at infinity:

J. Bardeen in C. DeWitt and B. DeWitt (eds.): “Black holes” Gordon & Breach (1973)

cf. S. Chandrasekhar: “The mathematical theory of black holes” Oxford UP (1983)

Shape and size of the shadow for black holes of the Plebański-Demiański class for observer at coordinates (r_0, ϑ_0) (analytical formulas):

A. Grenzebach, V.P. C. Lämmerzahl: Phys. Rev. D 89, 124004 (2014), Int. J. Mod. Phys. D 24, 1542024 (2015)

A. Grenzebach: “The shadow of black holes. An analytic description.” Springer Briefs in Physics, Springer, Heidelberg (2016)

Kerr metric in Boyer–Lindquist coordinates $(r, \vartheta, \varphi, t)$:

$$g_{\mu\nu}dx^\mu dx^\nu = \varrho(r, \vartheta)^2 \left(\frac{dr^2}{\Delta(r)} + d\vartheta^2 \right) + \frac{\sin^2 \vartheta}{\varrho(r, \vartheta)^2} \left(a dt - (r^2 + a^2) d\varphi \right)^2 - \frac{\Delta(r)}{\varrho(r, \vartheta)^2} \left(dt - a \sin^2 \vartheta d\varphi \right)^2$$

$$\varrho(r, \vartheta)^2 = r^2 + a^2 \cos^2 \vartheta, \quad \Delta(r) = r^2 - 2mr + a^2 .$$

$$m = \frac{GM}{c^2} \text{ where } M = \text{mass} , \quad a = \frac{J}{Mc} \text{ where } J = \text{spin}$$

Plebański-Demiański black holes: Additional parameters

$$q_e = \text{el. charge} , \quad q_m = \text{magn. charge} , \quad \ell = \text{NUT parameter} , \\ \Lambda = \text{cosmol. constant} , \quad \alpha = \text{acceleration}$$

Consider in the following only the Kerr metric

Lightlike geodesics:

$$\varrho(r, \vartheta)^2 \dot{t} = a (L - Ea \sin^2 \vartheta) + \frac{(r^2 + a^2) ((r^2 + a^2)E - aL)}{\Delta(r)},$$

$$\varrho(r, \vartheta)^2 \dot{\varphi} = \frac{L - Ea \sin^2 \vartheta}{\sin^2 \vartheta} + \frac{(r^2 + a^2)aE - a^2L}{\Delta(r)},$$

$$\varrho(r, \vartheta)^4 \dot{\vartheta}^2 = K - \frac{(L - Ea \sin^2 \vartheta)^2}{\sin^2 \vartheta} =: \Theta(\vartheta),$$

$$\varrho(r, \vartheta)^4 \dot{r}^2 = -K\Delta(r) + ((r^2 + a^2)E - aL)^2 =: R(r).$$

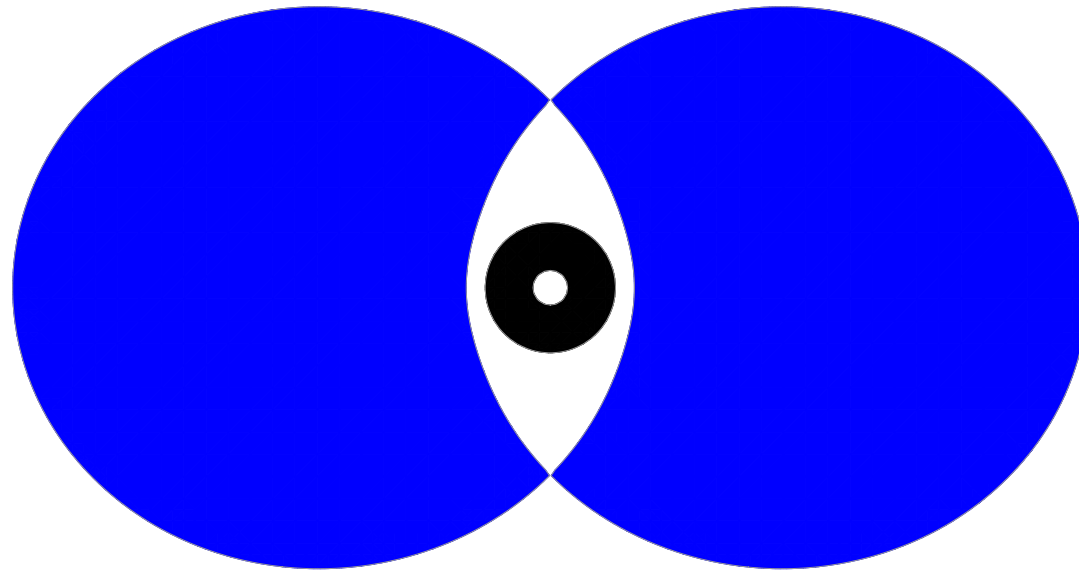
Spherical lightlike geodesics exist in the region where

$$R(r) = 0, \quad R'(r) = 0, \quad \Theta(\vartheta) \geq 0.$$

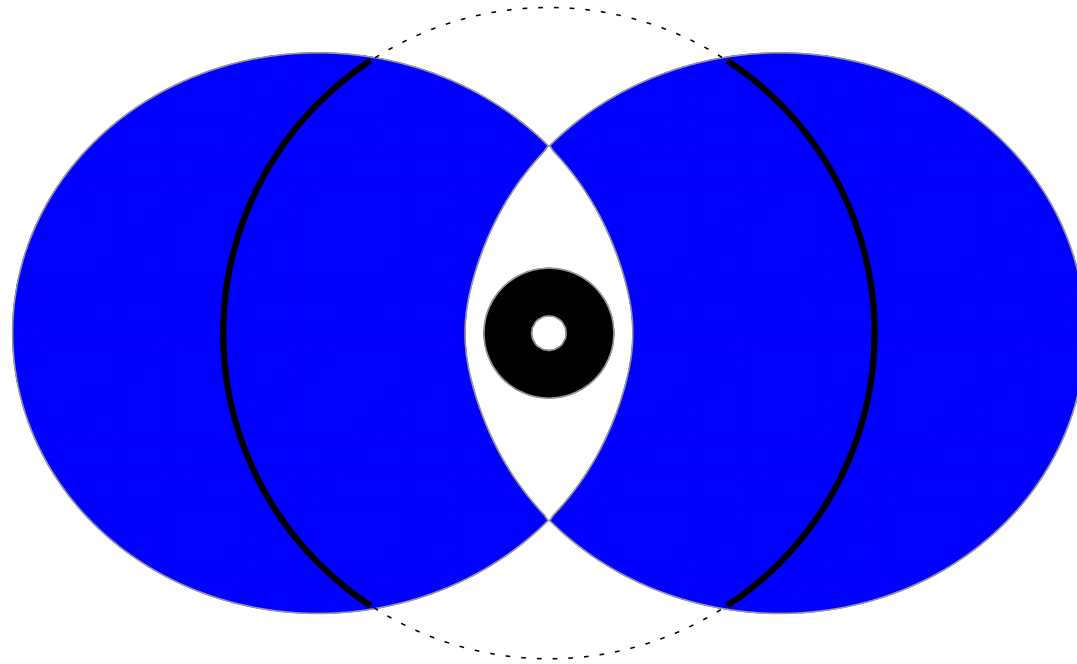
$$(2r\Delta(r) - (r - m) \varrho(r, \vartheta)^2)^2 \leq 4a^2 r^2 \Delta(r) \sin^2 \vartheta$$

(unstable if $R''(r) \geq 0$)

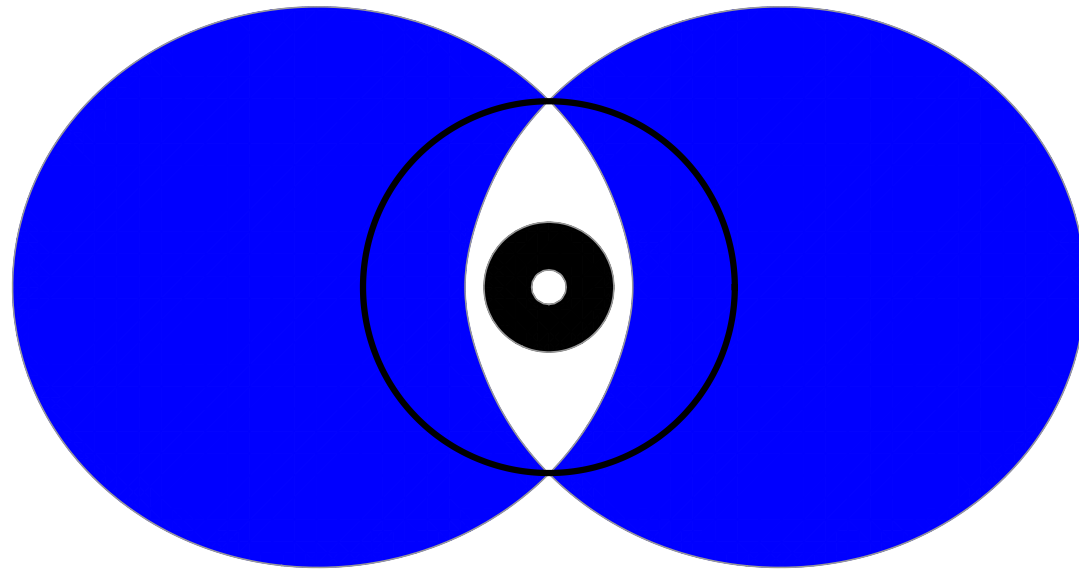
Photon region for Kerr black hole with $a = 0.75 m$



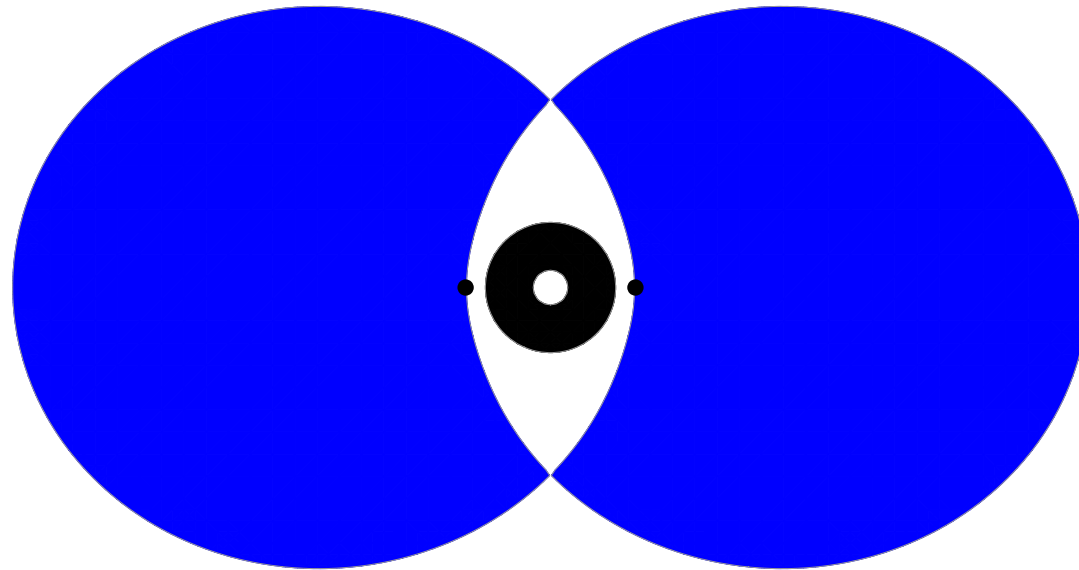
Photon region for Kerr black hole with $a = 0.75 m$



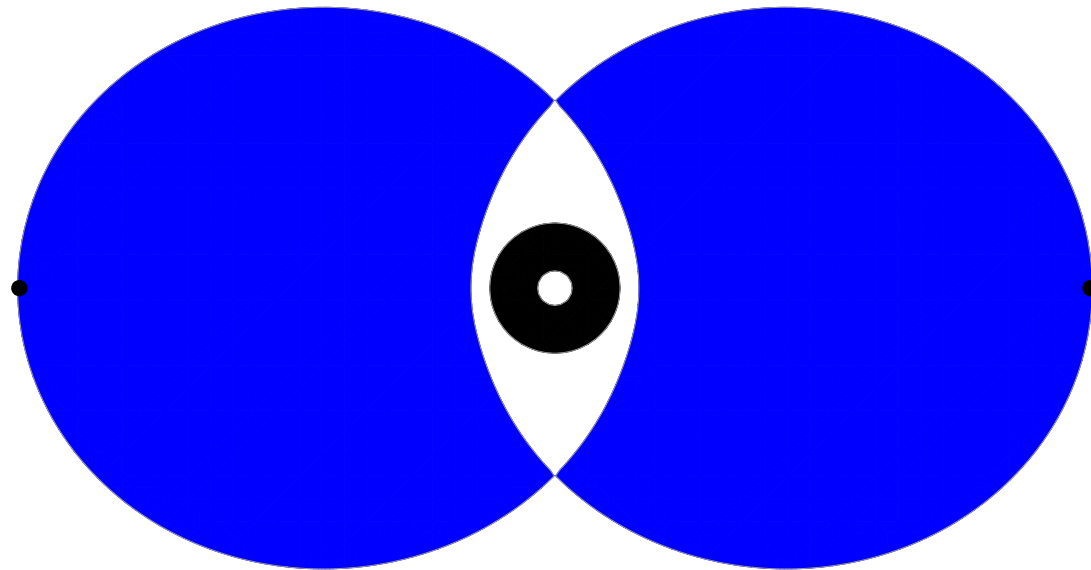
Photon region for Kerr black hole with $a = 0.75 m$



Photon region for Kerr black hole with $a = 0.75 m$



Photon region for Kerr black hole with $a = 0.75 m$



The shadow is determined by light rays that approach an unstable spherical lightlike geodesic.

Choose observer at r_O and ϑ_O

Choose tetrad

$$e_0 = \frac{(r^2 + a^2)\partial_t + a\partial_\varphi}{\varrho(r, \vartheta)\sqrt{\Delta(r)}} \Big|_{(r_O, \vartheta_O)}$$

$$e_1 = \frac{1}{\varrho(r, \vartheta)} \partial_\vartheta \Big|_{(r_O, \vartheta_O)}$$

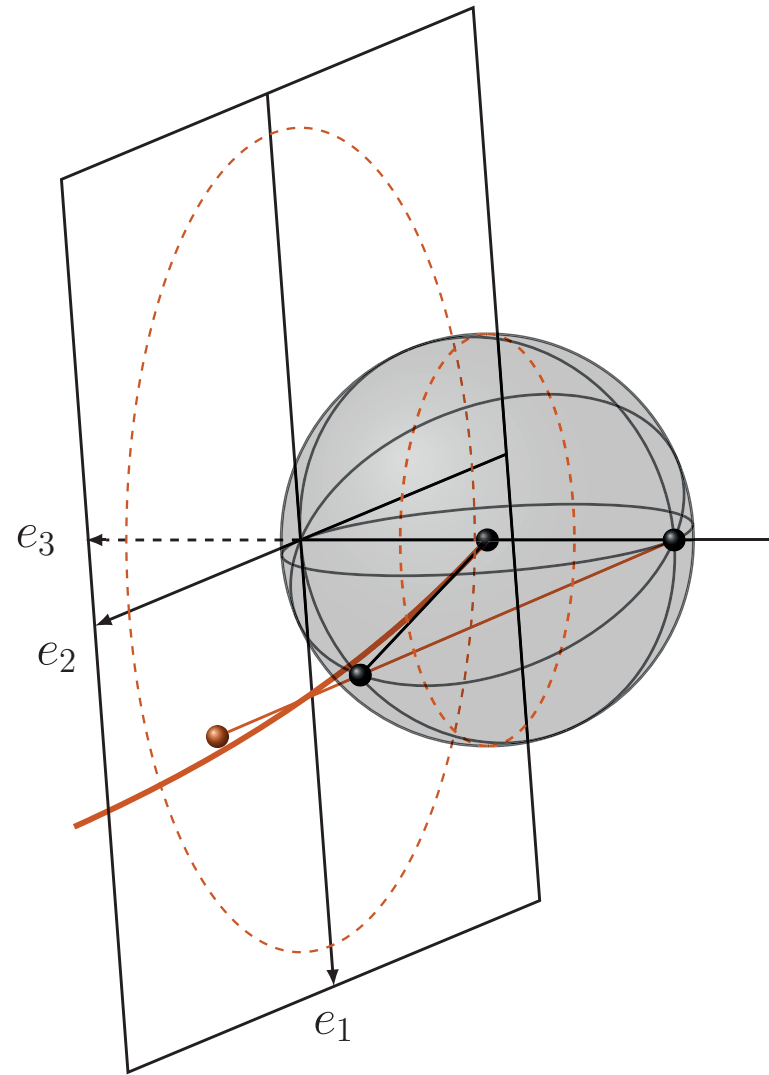
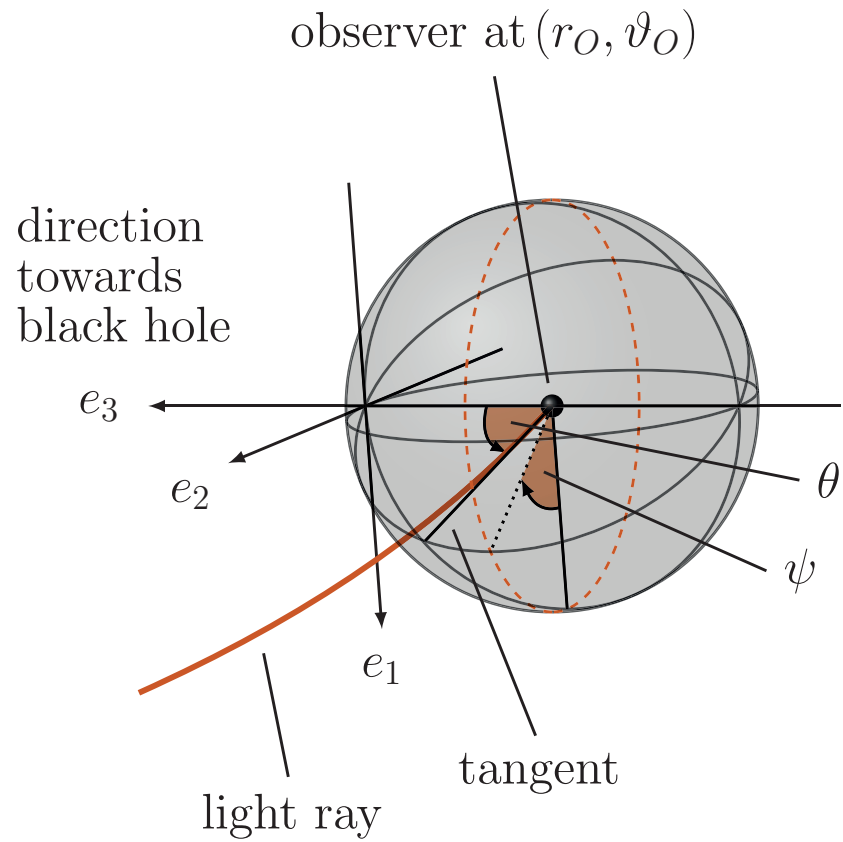
$$e_2 = -\frac{(\partial_\varphi + a \sin^2 \vartheta \partial_t)}{\sqrt{\varrho(r, \vartheta)^2 \sin \vartheta}} \Big|_{(r_O, \vartheta_O)}$$

$$e_3 = -\frac{\sqrt{\Delta(r)}}{\varrho(r, \vartheta)} \partial_r \Big|_{(r_O, \vartheta_O)}$$

Observer with other 4-velocity: Aberration

A. Grenzebach, in D. Puetzfeld, C. Laemmerzahl, B. Schutz (eds.): “Equations of motion in relativistic gravity” Springer (2015)

celestial coordinates at observer (θ, ψ)



constants of motion $\left(K_E = \frac{K}{E^2}, L_E = \frac{L}{E} - a\right)$

$$\sin \theta = \frac{\sqrt{\Delta(r) K_E}}{r^2 - aL_E} \Big|_{r=r_o}, \quad \sin \psi = \frac{L_E + a \cos^2 \vartheta + 2\ell \cos \vartheta}{\sqrt{K_E} \sin \vartheta} \Big|_{\vartheta=\vartheta_o}$$

$$K_E = \frac{16r^2 \Delta(r)}{(\Delta'(r))^2} \Big|_{r=r_p}, \quad aL_E = \left(r^2 - \frac{4r \Delta(r)}{\Delta'(r)}\right) \Big|_{r=r_p}$$

Analytic formula for shadow allows to extract parameters of the spacetime from the shape of the shadow

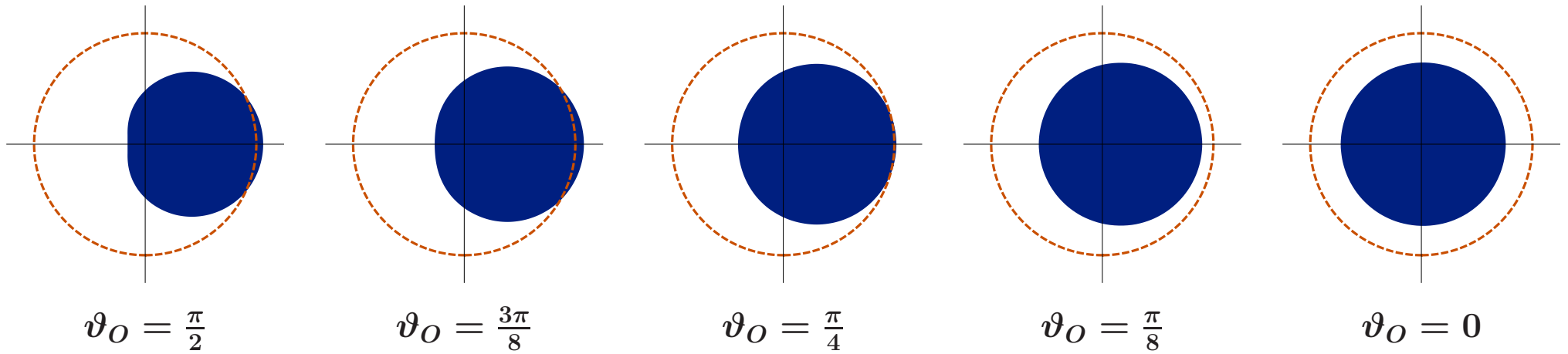
Vertical angular radius α_v of the shadow ($\vartheta = \pi/2$)

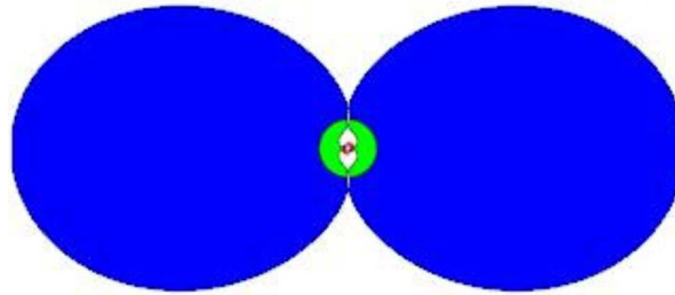
$$\sin^2 \alpha_v = \frac{27m^2 r_o^2 (a^2 + r_o(r_o - 2m))}{r_o^6 + 6a^2 r_o^4 + 3a^2(4a^2 - 9m^2)r_o^2 + 8a^6} = \frac{27m^2}{r_o^2} \left(1 + O(m/r_o)\right)$$

A. Grenzebach, VP, C. Lämmerzahl: Int. J. Mod. Phys. D 24, 1542024 (2015)

Up to terms of order $O(m/r_o)$, Synge's formula is still correct for the vertical diameter of the shadow

Shadow of black hole with $a = m$ for observer at $r_O = 5m$

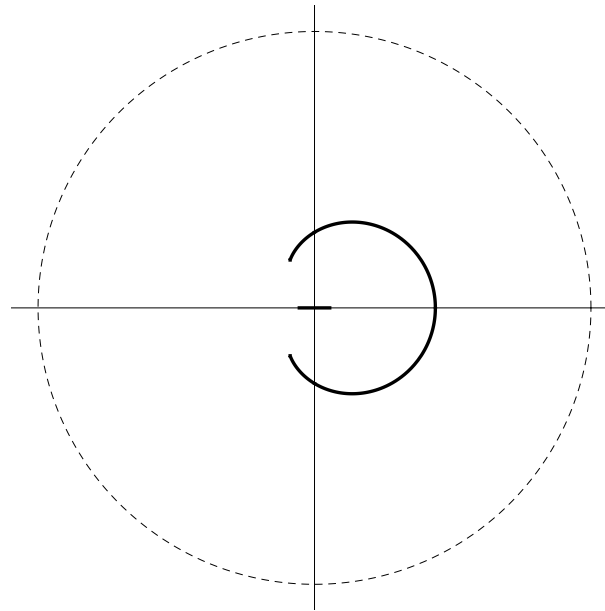




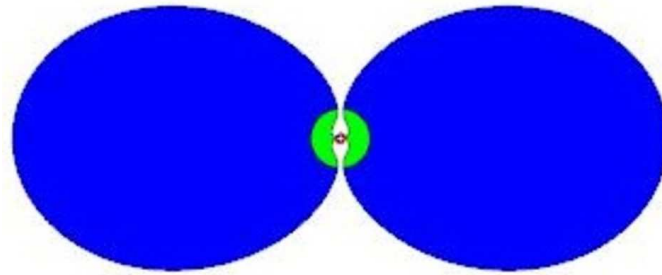
$$a = 1.1 m$$

$$r_O = 6 m$$

$$\vartheta_O = \pi/2$$



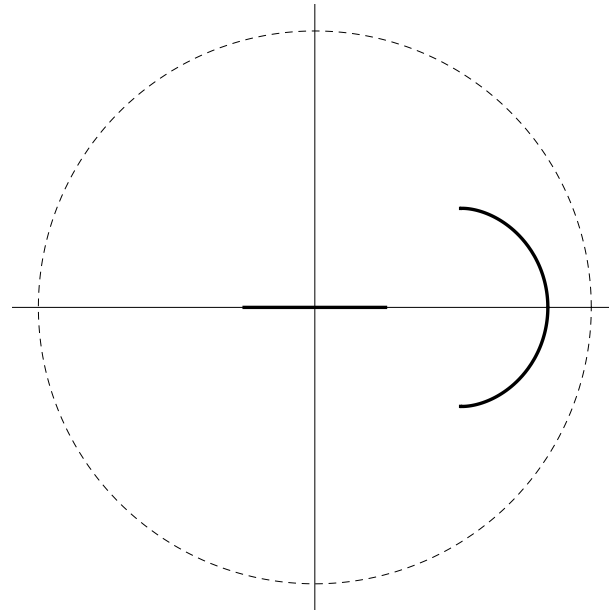
Cf. A. deVries: *Class. Quantum Grav.* 17, 123 (2000)



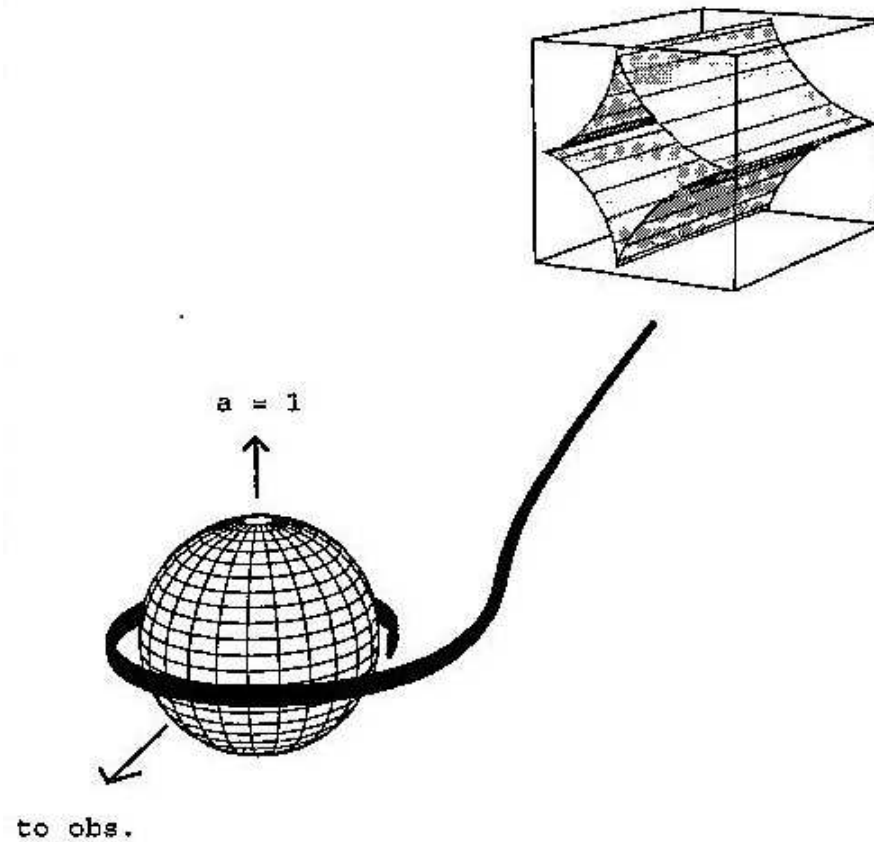
$$a = 2m$$

$$r_O = 8m$$

$$\vartheta_O = \pi/2$$



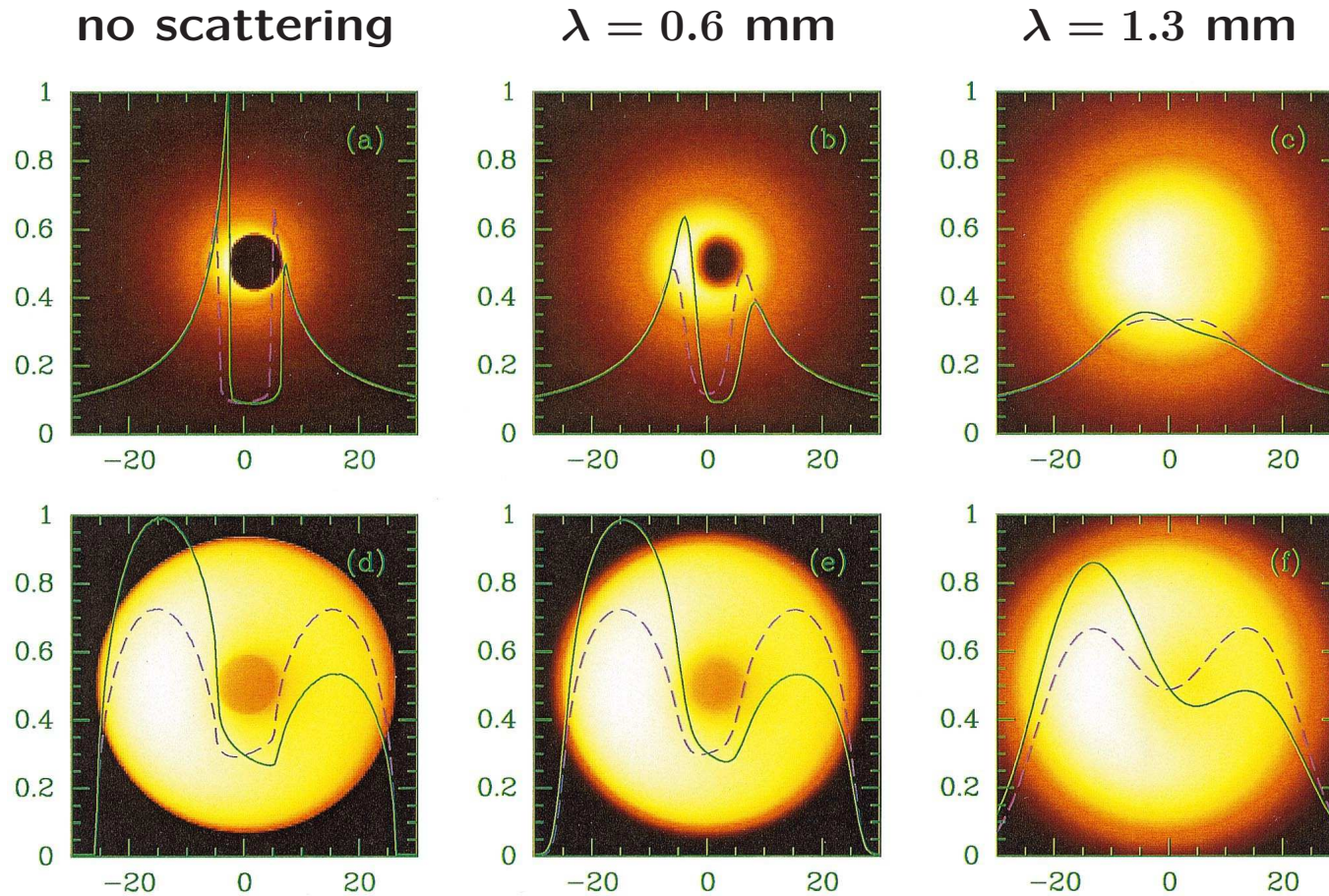
Caustics in Kerr spacetime



R.Blandford, K.Rauch: *Astrophys. J.* 421, 46 (1994)

V.Bozza: *Phys. Rev. D* 78, 063014 (2008)

Kerr shadow with emission region and scattering taken into account:



H. Falcke, F. Melia, E. Agol: *Astrophys. J.* 528, L13 (2000)

Observations should be done at (sub-)millimeter wavelengths

Influence of a plasma on light rays

Hamilton formalism for light rays in a pressureless non-magnetised plasma:

$$\dot{x}^\mu = \frac{\partial H(x, p)}{\partial p_\mu}, \quad \dot{p}_\mu = -\frac{\partial H(x, p)}{\partial x^\mu}, \quad H(x, p) = 0$$

$$H(x, p) = \frac{1}{2} \left(g^{\mu\nu}(x) p_\mu p_\nu + \omega_p(x)^2 \right),$$

plasma frequency: $\omega_p(x)^2 = \frac{e^2}{\epsilon_0 m_e} N(x)$

e : charge of the electron, m_e : mass of the electron

$N(x)$: number density of the electrons

Rigorous derivation from Maxwell's equation, even for magnetised pressure-free plasma:

R. Breuer, J. Ehlers: Proc. Roy. Soc. London, A 370, 389 (1980), A 374, 65 (1981)

for non-magnetised pressure-free plasma:

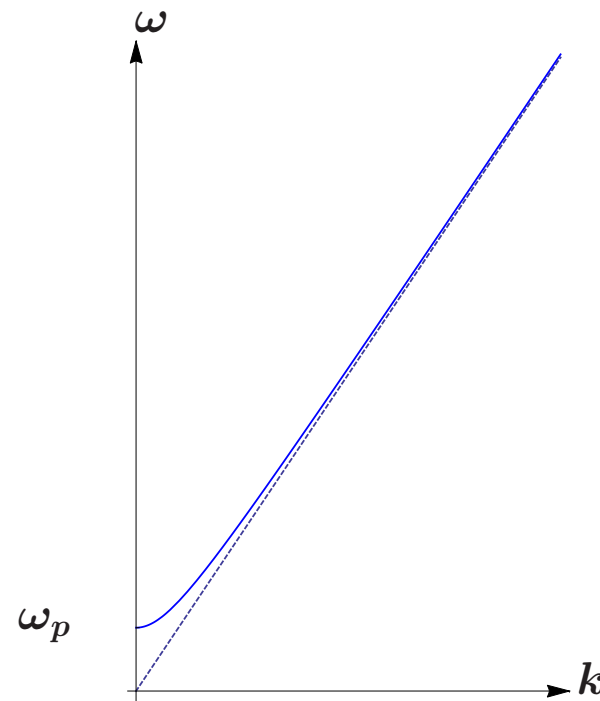
VP: "Ray Optics, Fermat's Principle and Applications to General Relativity" Springer (2000)

A plasma is a dispersive medium; propagation of light rays depend on the frequency:

$$p^\mu = -\frac{1}{c} \omega(x) U^\mu(x) + k^\mu(x),$$

Dispersion relation ($H = 0$)

$$\omega = \sqrt{\omega_p^2 + c^2 k^2}$$



For a cold non-magnetised plasma, only the plasma frequency matters, not the 4-velocity of the electrons

Spherically symmetric and static case

- Bending angle in Schwarzschild with plasma:

In the weak-field approximation:

D. O. Muhleman and I. D. Johnston: *Phys. Rev. Lett.* 17, 455 (1966)

Exact formula:

VP: “Ray optics, Fermat’s principle and applications to general relativity”
Springer (2000)

G. S. Bisnovatyi-Kogan and O. Yu. Tsupko: *Gravitation and Cosmology*,
15, 20 (2009), *Mon. Not. Roy. Astr. Soc.* 404, 1790 (2010), *Phys. Rev.*
D 87, 124009 (2013)

- Effect of a plasma on the shadow (talk by Oleg Tsupko):

VP, O. Yu. Tsupko, G. S. Bisnovatyi-Kogan: *Phys. Rev. D* 92, 104031
(2015)

Examples: Schwarzschild, Ellis wormhole

Influence of a plasma on the Kerr shadow

VP, O. Yu. Tsupko: Phys. Rev. D, to appear (2017)

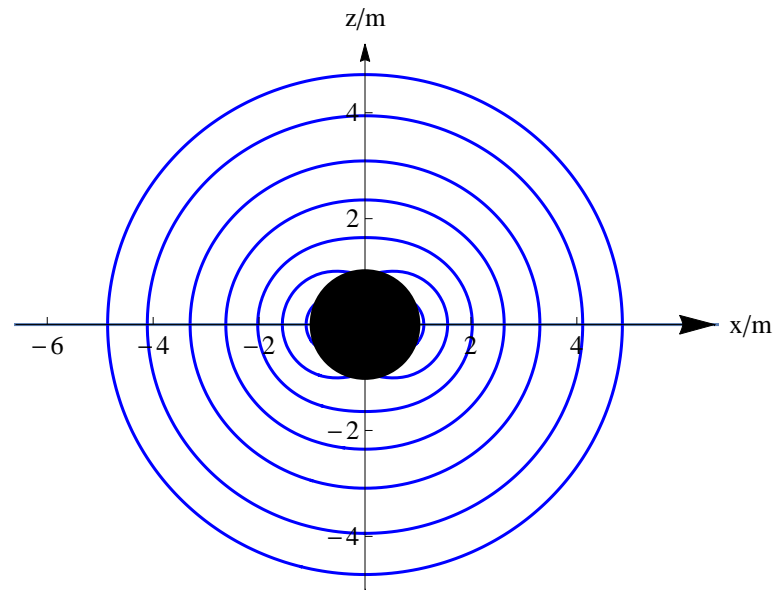
Condition for separability:

$$\omega_p(r, \vartheta)^2 = \frac{f_r(r) + f_\vartheta(\vartheta)}{r^2 + a^2 \cos^2 \vartheta}$$

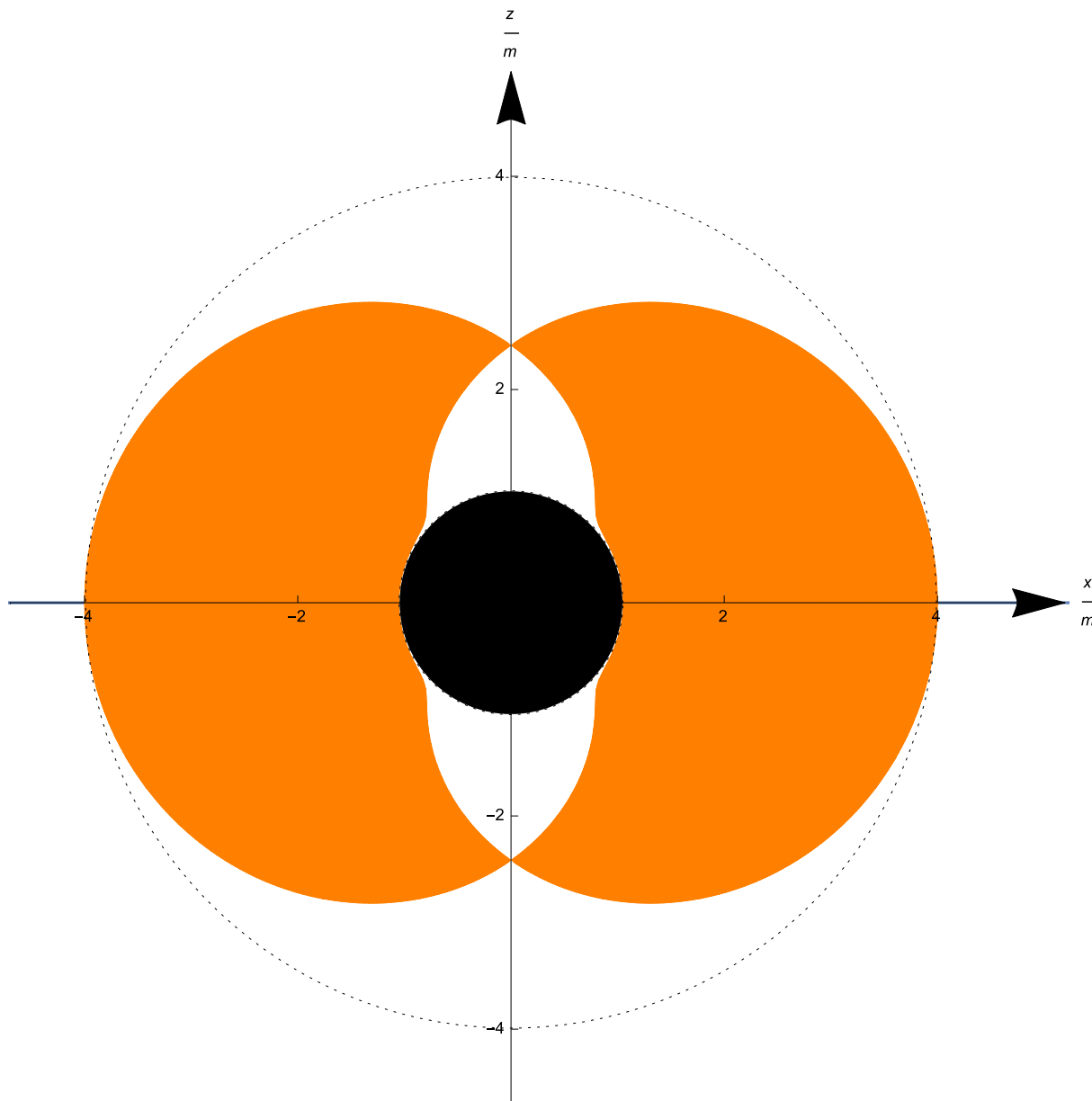
Necessary for analytical construction of the shadow

Otherwise a Carter constant does not exist

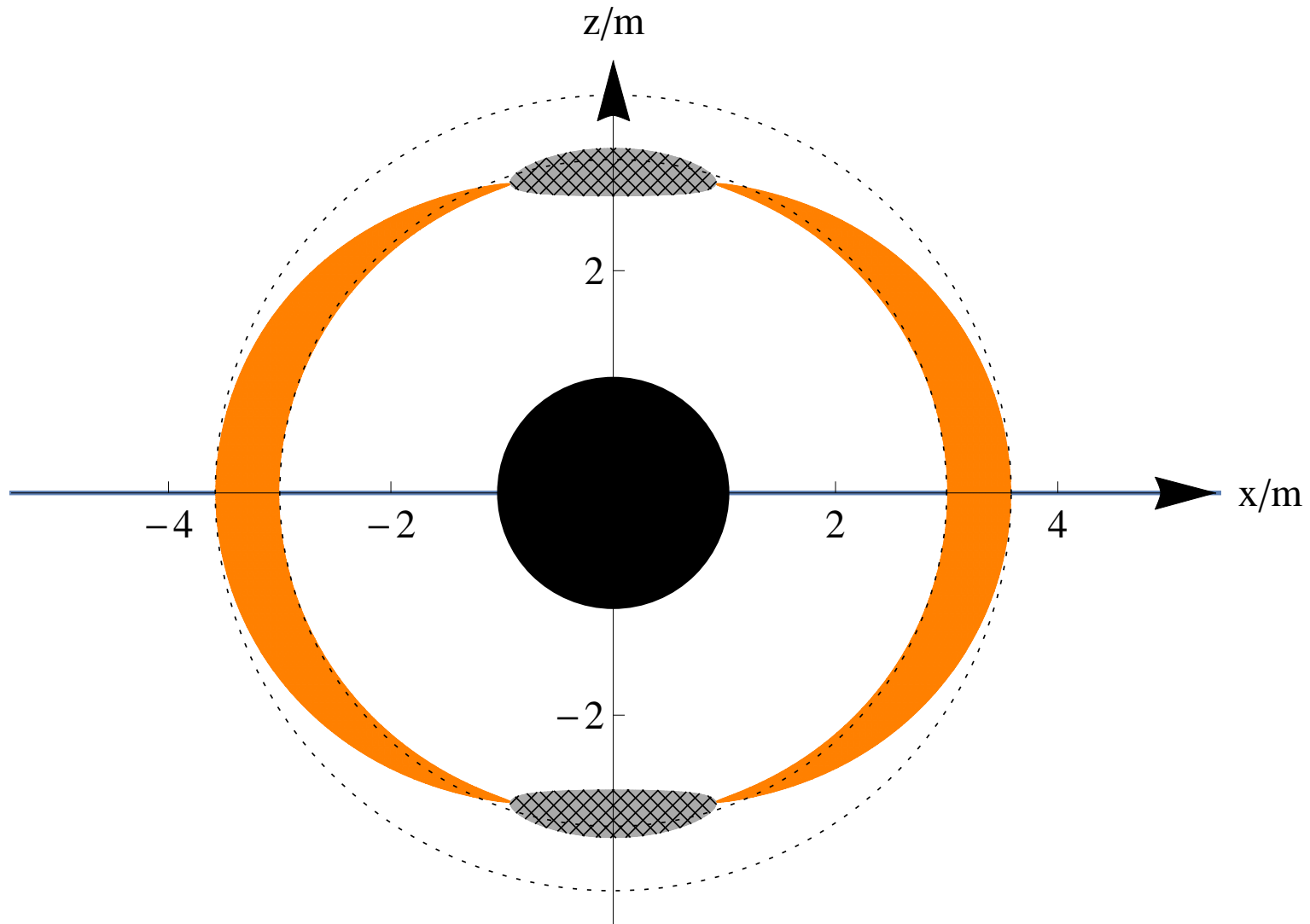
Example: $\omega_p(r, \vartheta)^2 = \frac{\omega_c^2 \sqrt{m^3 r}}{r^2 + a^2 \cos^2 \vartheta}$



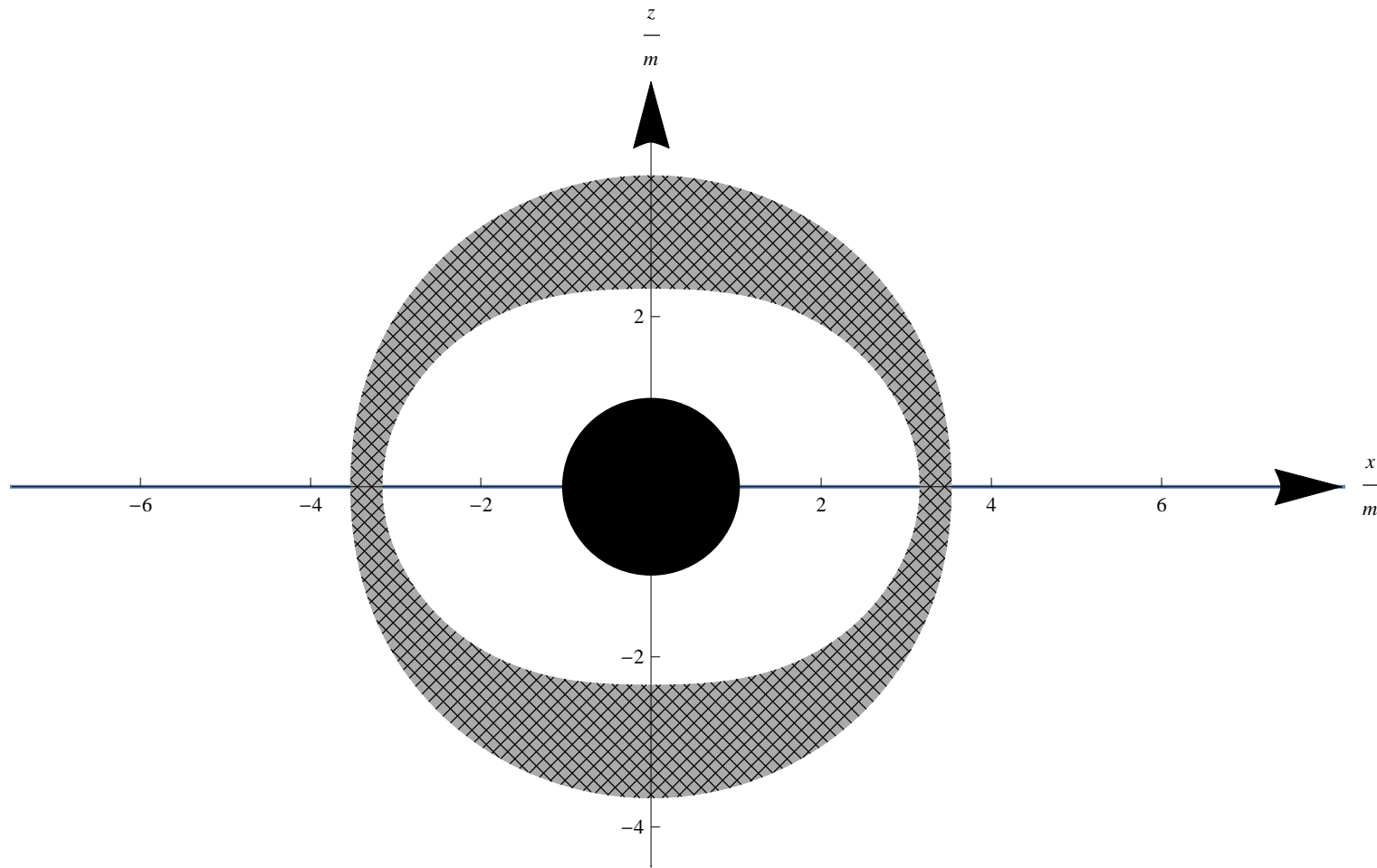
Photon region, $a = 0.999 m$, $\omega_c^2/\omega_0^2 = 0$



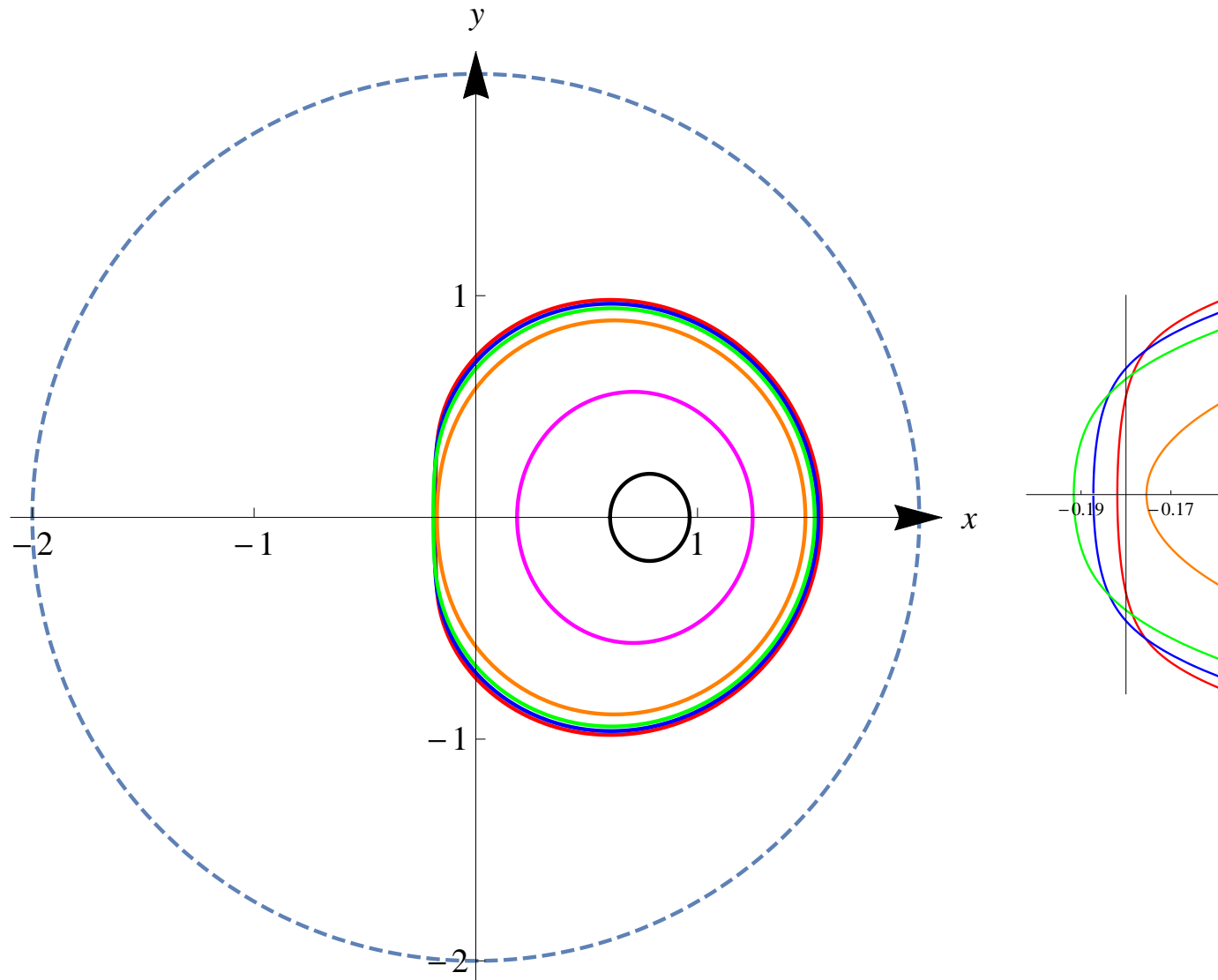
Photon region, $a = 0.999 m$, $\omega_c^2/\omega_0^2 = 14.5$



Photon region, $a = 0.999 m$, $\omega_c^2/\omega_0^2 = 15.3$



Shadow, $a = 0.999 m, r_O = 5 m, \vartheta_O = \pi/2$



Shadow shrinks with increasing ω_c^2/ω_0^2

Other example: $\omega_p(r, \vartheta) = \omega_c = \text{constant}$

Photon region, $a = 0.999 m$, $\omega_c^2/\omega_0^2 = 1.085$

