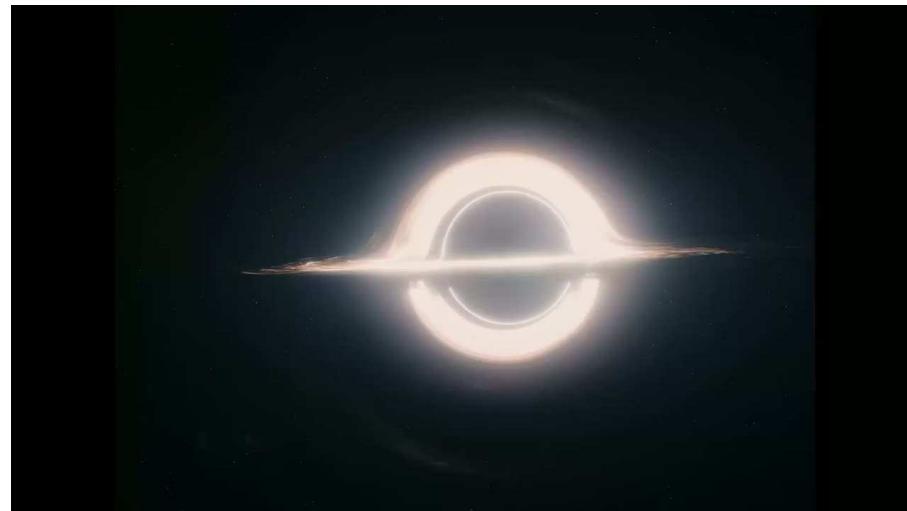


# Influence of a plasma on gravitational lensing by compact objects

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from the movie “Interstellar”

**Goal: Analytic treatment of light propagation in a plasma on general-relativistic spacetimes**

1. Light propagation in a non-magnetised, pressure-free plasma [1]
2. Light deflection in a plasma on a spherically symmetric and static spacetime [2], in particular on Schwarzschild spacetime [1]
3. Influence of a plasma on the shadow of spherically symmetric compact objects [2]
4. Light deflection and shadow in a plasma on Kerr spacetime [3]

[1] VP: “Ray optics, Fermat’s principle and applications to general relativity” Springer (2000)

[2] VP, O. Yu. Tsupko, G. S. Bisnovatyi-Kogan: “Influence of a plasma on the shadow of a spherically symmetric black hole” Phys. Rev. D 92, 104031 (2015)

[3] VP, O. Yu. Tsupko, G. S. Bisnovatyi-Kogan: in preparation

Also see talk by Karen Schulze-Koops at 3:35 today!

**Light rays on a general-relativistic spacetime with metric  $g_{ik}(x)$ :**

$$\dot{x}^i = \frac{\partial H(x, p)}{\partial p_i}, \quad \dot{p}_i = -\frac{\partial H(x, p)}{\partial x^i}, \quad H(x, p) = 0$$

**In vacuo:**

$$H(x, p) = \frac{1}{2} g^{ik}(x) p_i p_k$$

**Light rays are lightlike geodesics of the spacetime metric  $g_{ik}$**

**In a non-magnetised pressure-free plasma:**

$$H(x, p) = \frac{1}{2} \left( g^{ik}(x) p_i p_k + \omega_p(x)^2 \right),$$

**plasma frequency:**  $\omega_p(x)^2 = \frac{e^2}{\varepsilon_0 m_e} N(x)$

$e$ : charge of the electron,     $m_e$ : mass of the electron

$N(x)$ : number density of the electrons

**Light rays are timelike geodesics of the conformally rescaled metric  $\omega_p^{-2} g_{ik}$**

Rigourous derivation from Maxwell's equation, even for magnetised pressure-free plasma:

R. Breuer, J. Ehlers: Proc. Roy. Soc. London, A 370, 389 (1980), A 374, 65 (1981)

for non-magnetised pressure-free plasma:

VP: "Ray Optics, Fermat's Principle and Applications to General Relativity"  
Springer (2000)

A plasma is a dispersive medium; propagation of light rays depend on the frequency  $\omega = -p_i U^i$

For a cold non-magnetised plasma, only the plasma frequency matters, not the 4-velocity of the electrons

Light rays are characterised by a Lorentz invariant index of refraction

$$n(x, \omega)^2 = 1 - \frac{\omega_p(x)^2}{\omega^2}.$$

J. Synge: "Relativity: The General Theory", North-Holland (1960)

# Spherically symmetric and static case

$$g_{ik}(x)dx^i dx^k = -A(r)dt^2 + B(r)dr^2 + D(r)(d\vartheta^2 + \sin^2\vartheta d\varphi^2)$$

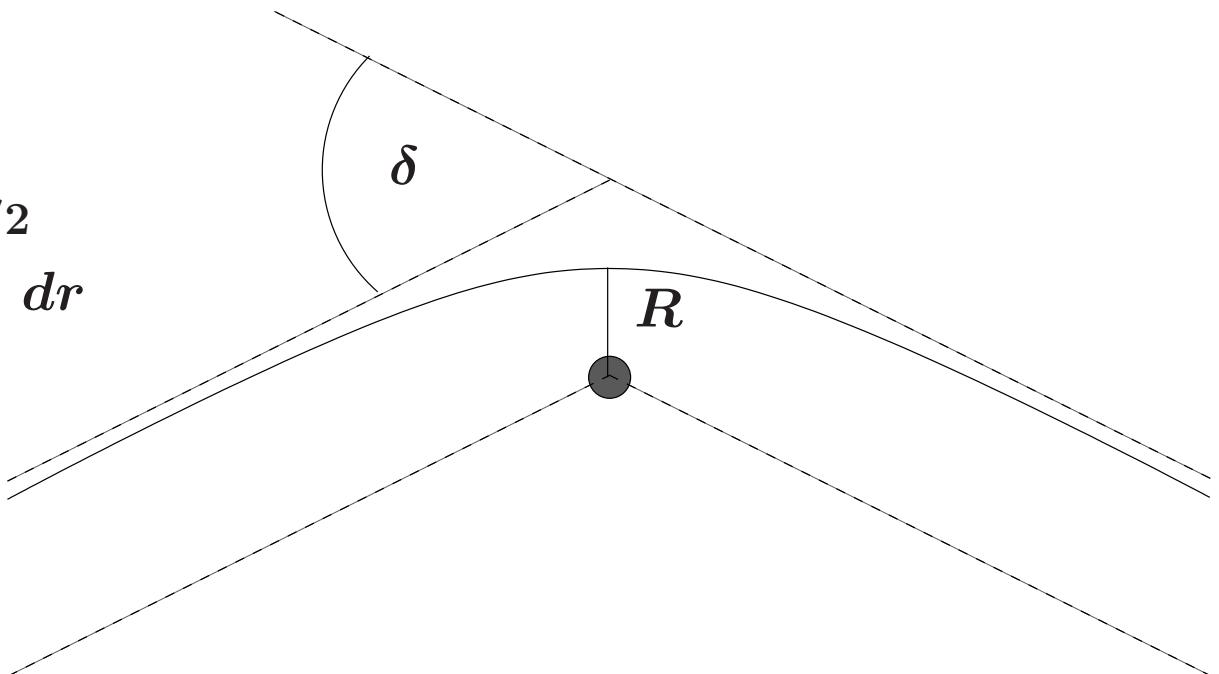
$$H(x, p) = \frac{1}{2} \left( g^{ik}(x)p_i p_k + \omega_p(r)^2 \right)$$

With constant of motion  $\omega_0 = -p_t$ , define

$$h(r)^2 = \frac{D(r)}{A(r)} \left( 1 - A(r) \frac{\omega_p(r)^2}{\omega_0^2} \right)$$

Deflection angle

$$\pi + \delta = 2 \int_R^\infty \frac{\sqrt{B(r)}}{\sqrt{D(r)}} \left( \frac{h(r)^2}{h(R)^2} - 1 \right)^{-1/2} dr$$



## Schwarzschild spacetime:

$$A(r) = B(r)^{-1} = 1 - \frac{2m}{r}, \quad D(r) = r^2, \quad m = \frac{GM}{c^2}$$

$$\pi + \delta = 2 \int_R^\infty \left( \frac{\frac{r^2}{r-2m} - \frac{\omega_p(r)^2}{\omega_0^2}}{\frac{R^2}{R-2m} - \frac{\omega_p(R)^2}{\omega_0^2}} - 1 \right)^{-1/2} \frac{dr}{\sqrt{r}\sqrt{r-2m}}$$

## In the weak-field approximation:

D. O. Muhleman and I. D. Johnston: Phys. Rev. Lett. 17, 455 (1966)

## Exact formula:

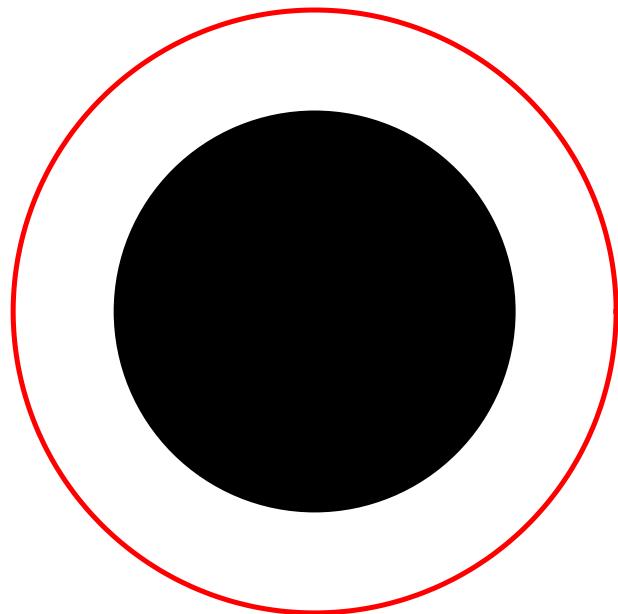
VP: “Ray optics, Fermat’s principle and applications to general relativity”  
Springer (2000)

## Astrophysical applications:

- O. Yu. Tsupko and G. S. Bisnovatyi-Kogan: Phys. Rev. D 87, 124009 (2013)  
X. Er and S. Mao: Mon. Not. Roy. Astron. Soc. 437, 2180 (2013)  
A. Rogers: Mon. Not. Roy. Astron. Soc. 451 4536 (2015)

## Effect of a plasma on the shadow

Recall: Shadow in vacuum of a Schwarzschild black hole



Horizon:

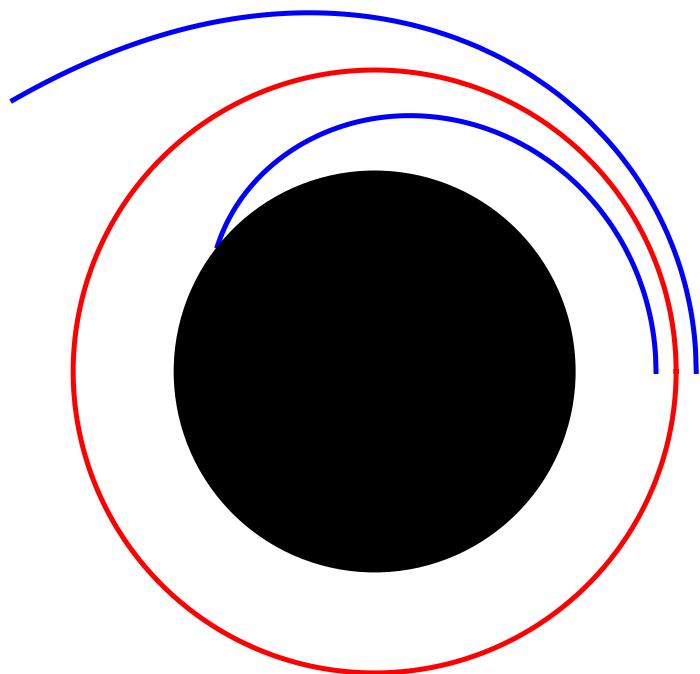
$$r_S = \frac{2GM}{c^2} = 2m$$

Light sphere  
(photon sphere)

$$\frac{3}{2} r_S = \frac{3GM}{c^2} = 3m$$

## Effect of a plasma on the shadow

Recall: Shadow in vacuum of a Schwarzschild black hole

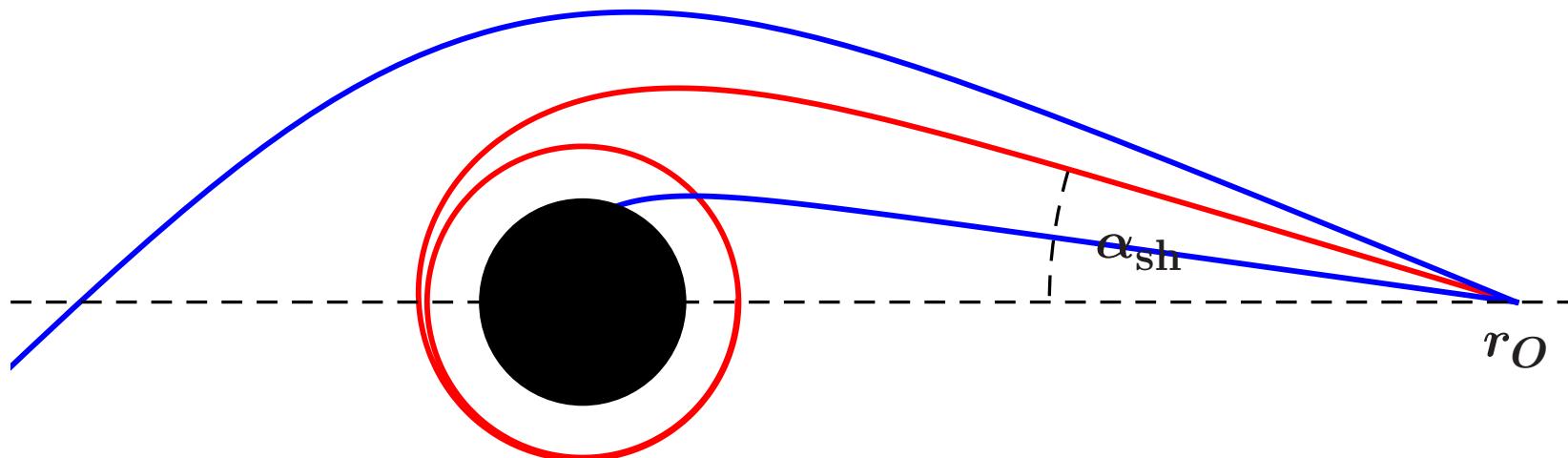


Horizon:

$$r_S = \frac{2GM}{c^2} = 2m$$

Light sphere  
(photon sphere)

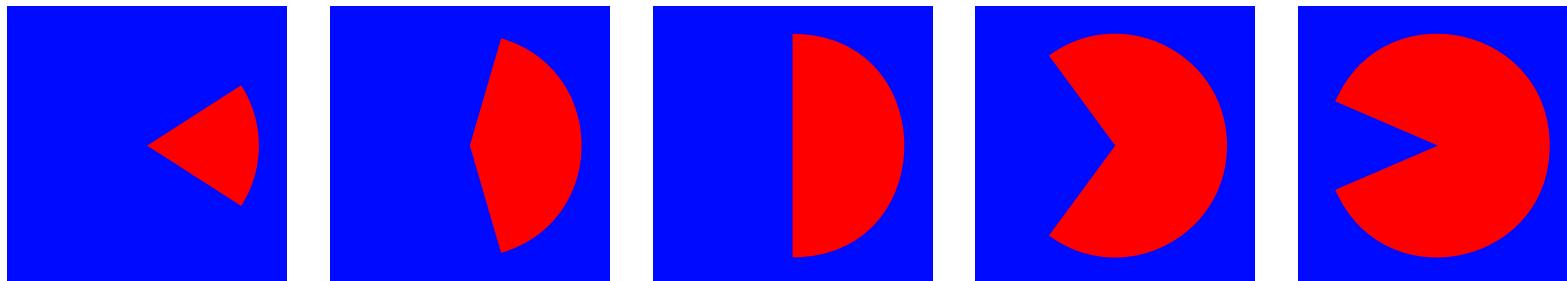
$$\frac{3}{2} r_S = \frac{3GM}{c^2} = 3m$$



**Angular radius  $\alpha_{\text{sh}}$  of the “shadow” of a Schwarzschild black hole:**

$$\sin^2 \alpha_{\text{sh}} = \frac{27 r_S^2 (r_O - r_S)}{4 r_O^3} = \frac{27 m^2}{r_O^2} \left(1 - \frac{2m}{r_O}\right)$$

**J. L. Synge, Mon. Not. R. Astr. Soc. 131, 463 (1966)**



$r_O = 1.05 r_S$

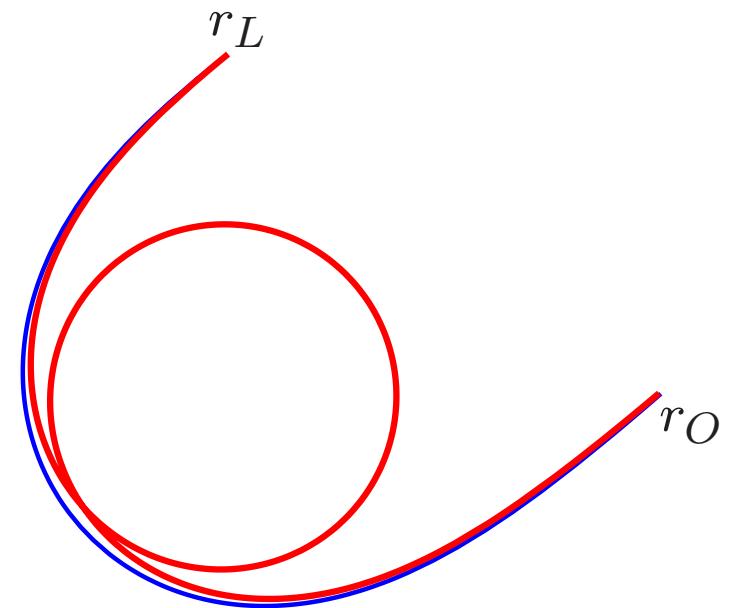
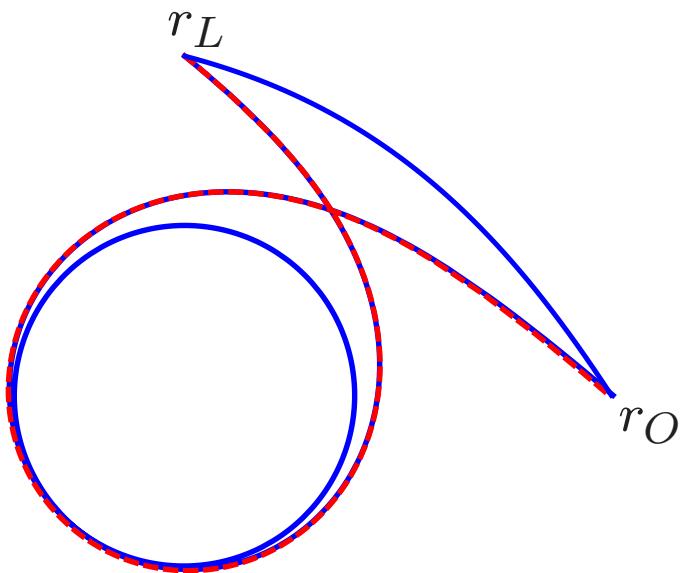
$r_O = 1.3 r_S$

$r_O = 3 r_S/2$

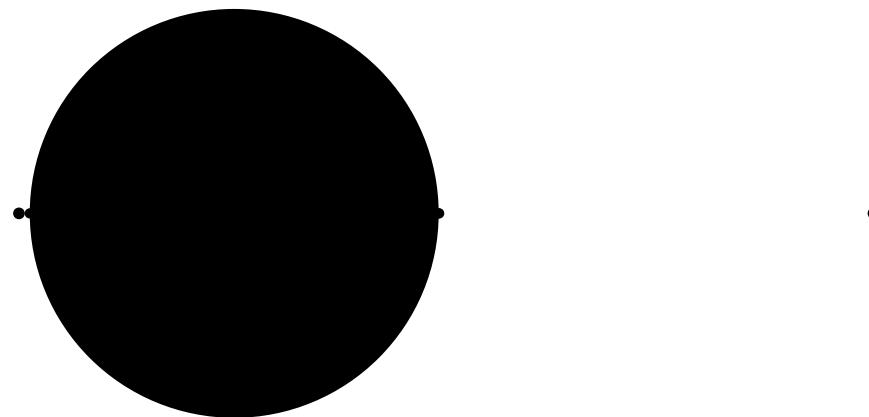
$r_O = 2.5 r_S$

$r_O = 6 r_S$

**Schwarzschild black hole produces infinitely  
many images:**



## **Imaging of a point source by a Schwarzschild black hole**



# Perspectives of observations

**Object at the centre of our galaxy:**

**Mass** =  $4 \times 10^6 M_{\odot}$

**Distance** = 8 kpc

**Angular diameter of the shadow by Synge's formula**  $\approx 54 \mu\text{as}$   
**(corresponds to a grapefruit on the moon)**

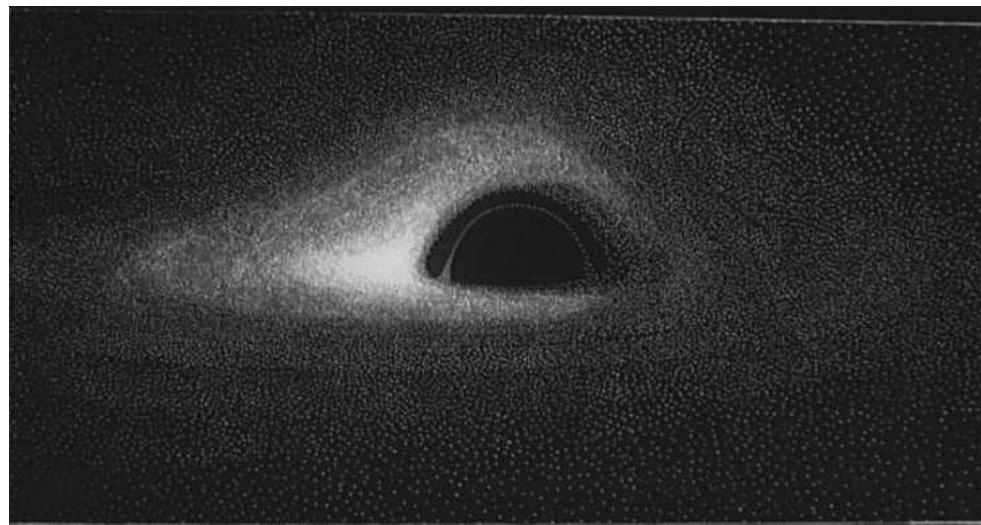
**Object at the centre of M87:**

**Mass** =  $3 \times 10^9 M_{\odot}$

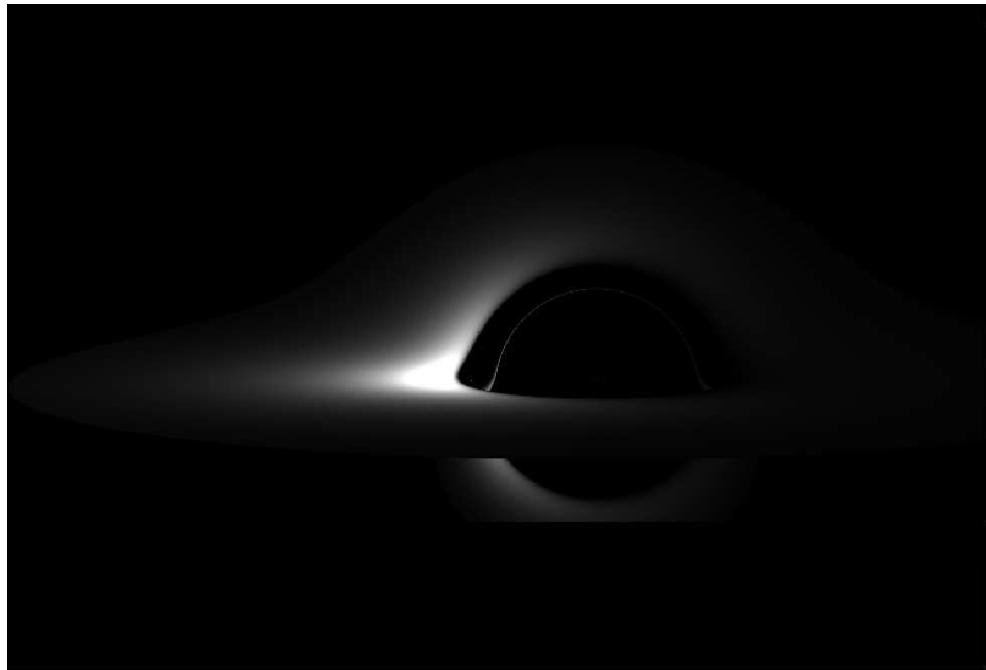
**Distance** = 16 Mpc

**Angular diameter of the shadow by Synge's formula**  $\approx 20 \mu\text{as}$

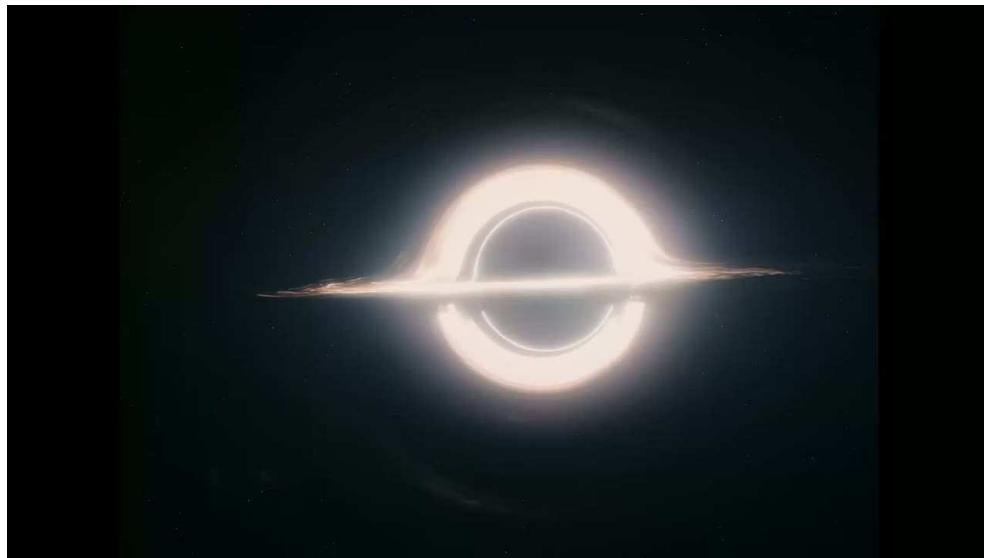
**Perhaps observable soon with VLBI (Event Horizon Telescope,  
BlackHoleCam)**



J.-P. Luminet (1979)



T. Müller (2012)

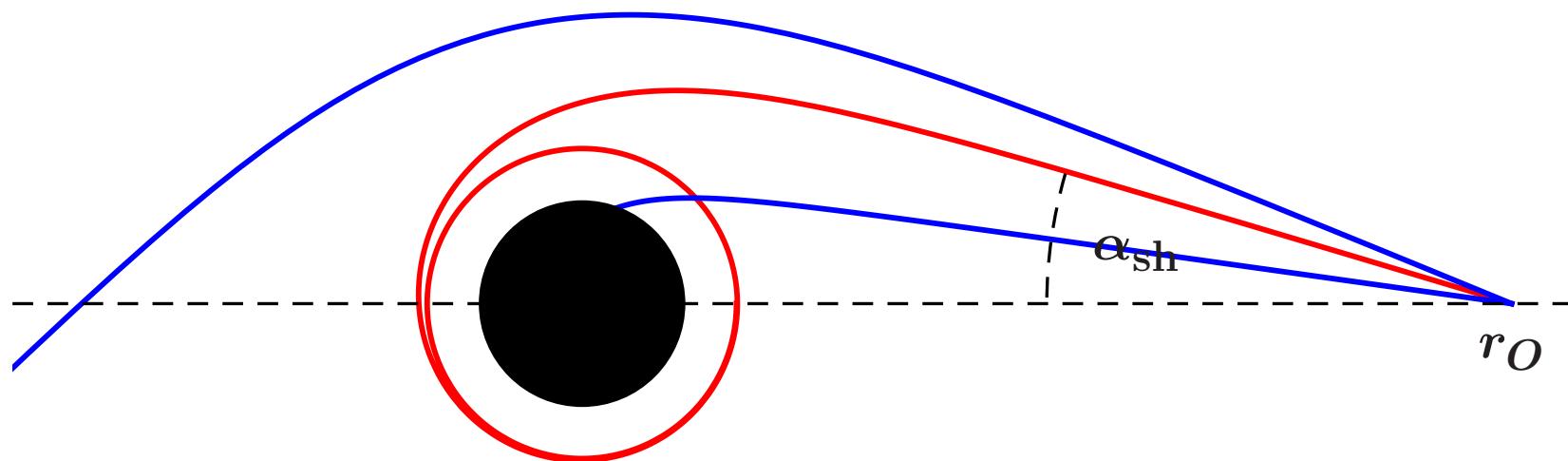


**Interstellar** (2014)

# Plasma on spherical symmetric and static spacetime

Condition for photon sphere:

$$\frac{d}{dr} h(r)^2 \Big|_{r=r_{\text{ph}}} = 0, \quad h(r)^2 = \frac{D(r)}{A(r)} \left( 1 - A(r) \frac{\omega_p(r)^2}{\omega_0^2} \right)$$

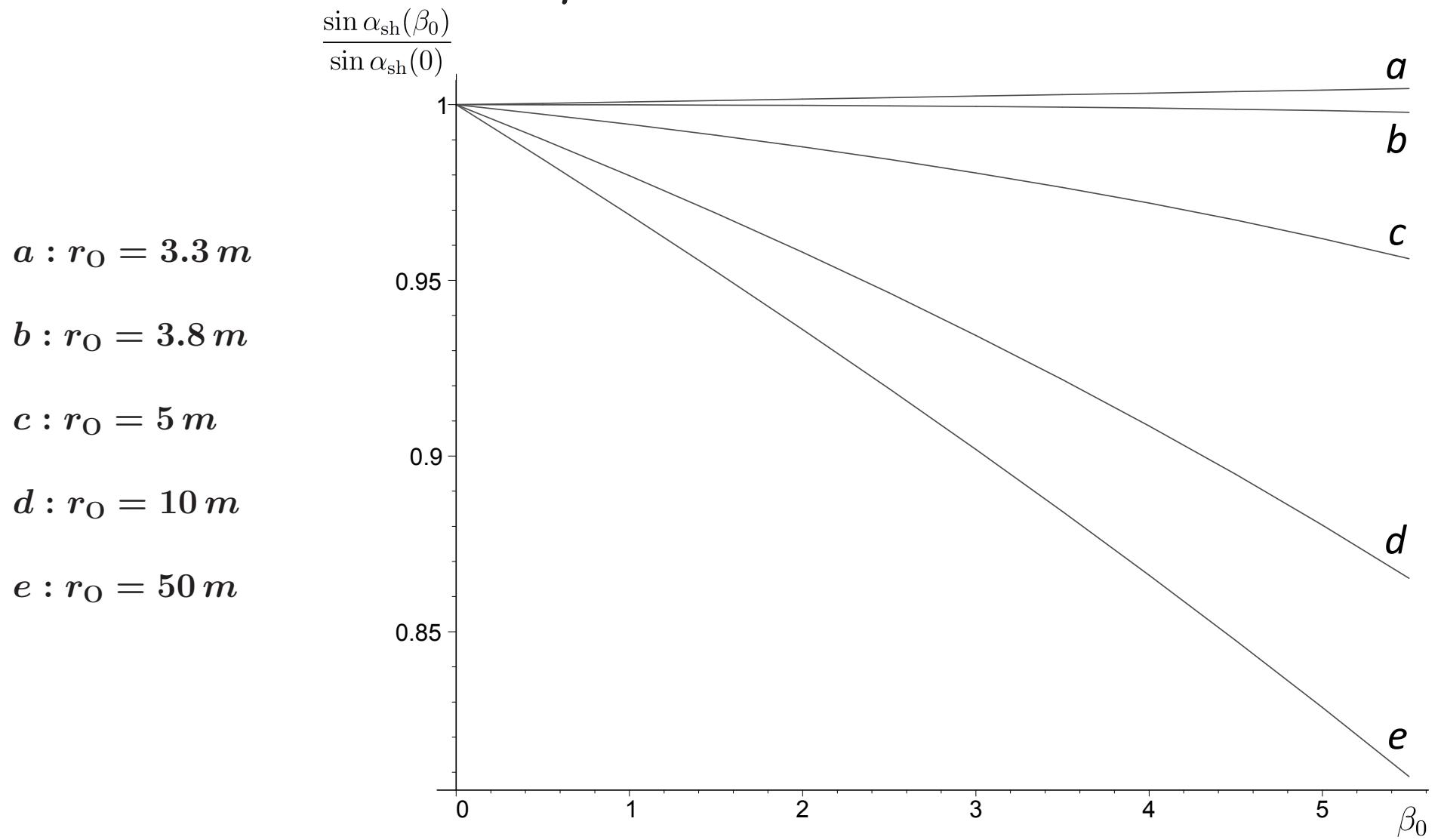


Angular radius  $\alpha_{\text{sh}}$  of shadow:

$$\sin^2 \alpha_{\text{sh}} = \frac{h(r_{\text{ph}})^2}{h(r_O)^2}$$

## Example 1: Accretion of dust onto Schwarzschild

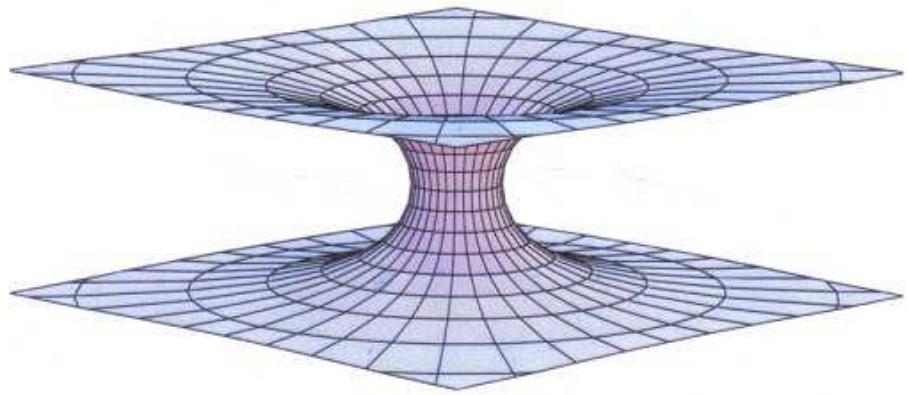
$$g = -\left(1 - \frac{2m}{r}\right)dt^2 + \frac{dr^2}{1 - \frac{2m}{r}} + r^2(d\vartheta^2 + \sin^2\vartheta d\varphi^2), \quad \frac{\omega_p(r)^2}{\omega_0^2} = \beta_0 \left(\frac{m}{r}\right)^{3/2}$$



## Example 2: Ellis wormhole

$$g = -dt^2 + dr^2 + (r^2 + a^2)(d\vartheta^2 + \sin^2\vartheta d\varphi^2)$$

H. G. Ellis, J. Math. Phys. 14, 104 (1973)



Condition for photon sphere:

$$r \left( 1 - \frac{\omega_p(r)^2}{\omega_0^2} \right) = (r^2 + a^2) \frac{\omega_p(r)\omega'_p(r)}{\omega_0^2}$$

Angular radius of shadow:

$$\sin^2\alpha_{\text{sh}} = \frac{(r_{\text{ph}}^2 + a^2)}{(r_{\text{O}}^2 + a^2)} \frac{(\omega_0^2 - \omega_p(r_{\text{ph}})^2)}{(\omega_0^2 - \omega_p(r_{\text{O}})^2)}$$

Homogeneous plasma ( $\omega_p(r) = \text{const.}$ ):

$$r_{\text{ph}} = 0, \quad \sin^2\alpha_{\text{sh}} = \frac{a^2}{r_{\text{O}}^2 + a^2}$$

## Light propagation in a plasma on Kerr spacetime

$$g_{ik}dx^i dx^k = \varrho(r, \vartheta)^2 \left( \frac{dr^2}{\Delta(r)} + d\vartheta^2 \right) + \frac{\sin^2 \vartheta}{\varrho(r, \vartheta)^2} \left( \textcolor{red}{a} dt - (r^2 + \textcolor{red}{a}^2) d\varphi \right)^2 \\ - \frac{\Delta(r)}{\varrho(r, \vartheta)^2} \left( dt - \textcolor{red}{a} \sin^2 \vartheta d\varphi \right)^2$$

$$\varrho(r, \vartheta)^2 = r^2 + \textcolor{red}{a}^2 \cos^2 \vartheta, \quad \Delta(r) = r^2 - 2\textcolor{red}{m}r + \textcolor{red}{a}^2.$$

$$\textcolor{red}{m} = \frac{GM}{c^2} \text{ where } M = \text{mass}, \quad \textcolor{red}{a} = \frac{J}{Mc} \text{ where } J = \text{spin}$$

Bending angle for light rays in the equatorial plane for an  $r$  dependent plasma frequency:

VP: “Ray optics, Fermat’s principle and applications to general relativity”  
Springer (2000)

Light deflection of a slowly rotating Kerr black hole with constant plasma density:

V. Morozova, B. Ahmedov, and A. Tursunov, *Astrophys. Space Sci.* 346, 513 (2013)

**Still to be determined:**

**Bending of light in the general case**

**The shadow of a Kerr black hole in a plasma**

**Recall: Shadow of a Kerr black hole in vacuum**

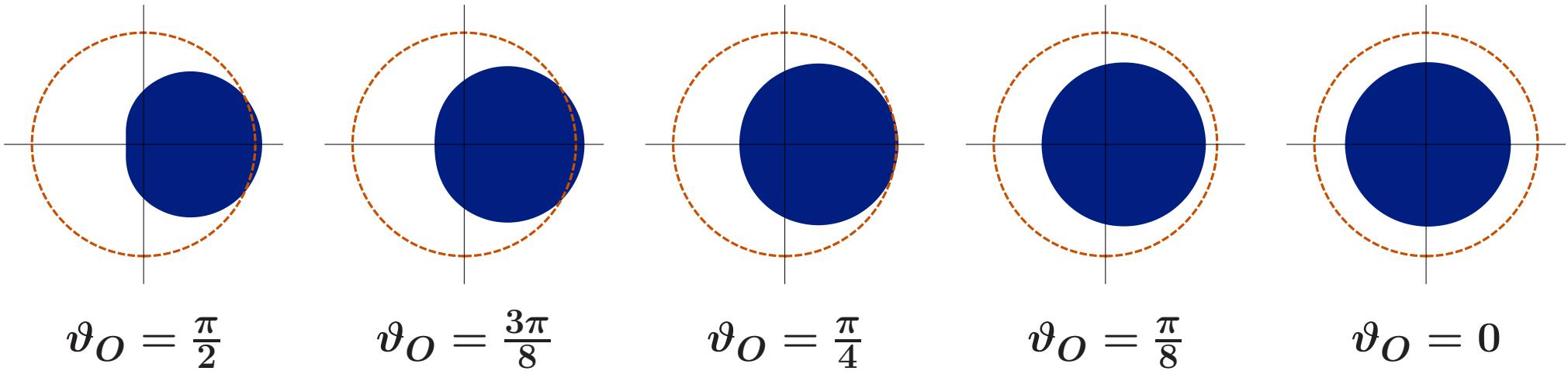
**Shape of the shadow of a Kerr black hole for observer at infinity:**

J. Bardeen in C. DeWitt and B. DeWitt (eds.): “Black holes” Gordon & Breach (1973)

**Shape and size of the shadow of Kerr black holes (and other black holes) for observer at coordinates  $(r_O, \vartheta_O)$  (analytical formulas):**

A. Grenzebach, VP, C. Lämmerzahl: Phys. Rev. D 89, 124004 (2014), Int. J. Mod. Phys. D 24, 1542024 (2015)

**Shadow of black hole with  $a = m$  for observer at  $r_O = 5m$**

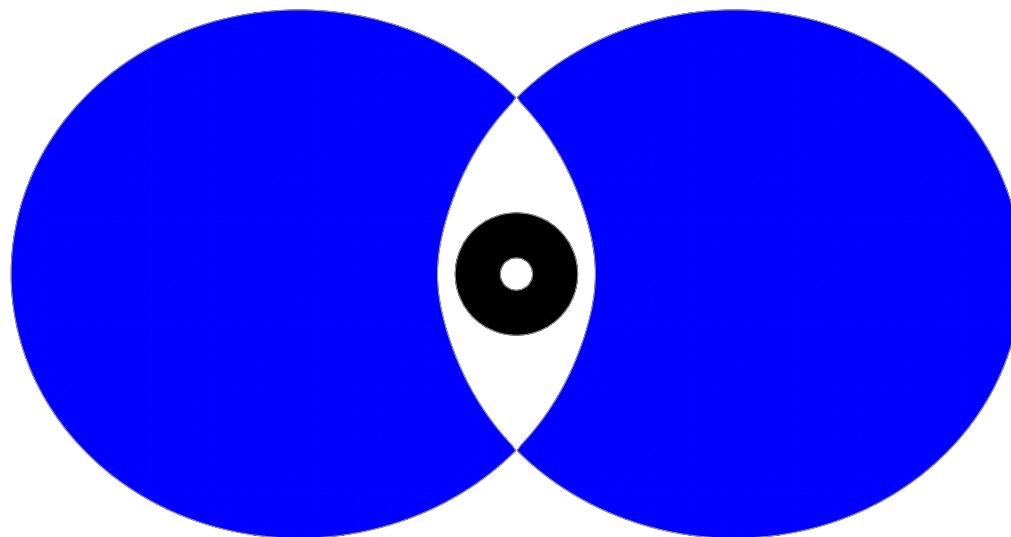


**Analytical formula for the shadow is based on the following facts:**

The equation for lightlike geodesics is completely integrable (because of the Carter constant)

There is a “photon region” filled with spherical lightlike geodesics (which generalises the photon sphere in Schwarzschild at  $r = 3m$ )

Photon region for  $a = 0.75 m$



**Boundary curve of shadow corresponds to light rays that approach a spherical lightlike geodesic**

**Analytical formula for the shadow follows from identifying constants of motion of a light ray with the constants of motion of its limit curve**

**This gives, in particular, a formula for the vertical angular radius  $\alpha_v$  of the shadow:**

$$\begin{aligned}\sin^2 \alpha_v &= \frac{27m^2 r_O^2 (a^2 + r_O(r_O - 2m))}{r_O^6 + 6a^2 r_O^4 + 3a^2(4a^2 - 9m^2)r_O^2 + 8a^6} \\ &= \frac{27m^2}{r_O^2} \left(1 + O(m/r_O)\right), \quad \vartheta_O = \frac{\pi}{2}\end{aligned}$$

**Up to terms of order  $O(m/r_O)$ , Synge's formula is still correct for the vertical diameter of the shadow**

## **Generalisation to the plasma case requires:**

**Find out for which form of the plasma frequency  $\omega_p(r, \vartheta)$  a generalised Carter constant exists**

**Determine, for these cases, the photon region**

**Derive, thereupon, an analytical formula for the shadow**

**VP, O. Yu. Tsupko, G. S. Bisnovatyi-Kogan: work in progress**